



# Examining Emerging Biologics for Difficult-to-treat or Severe **Asthma**

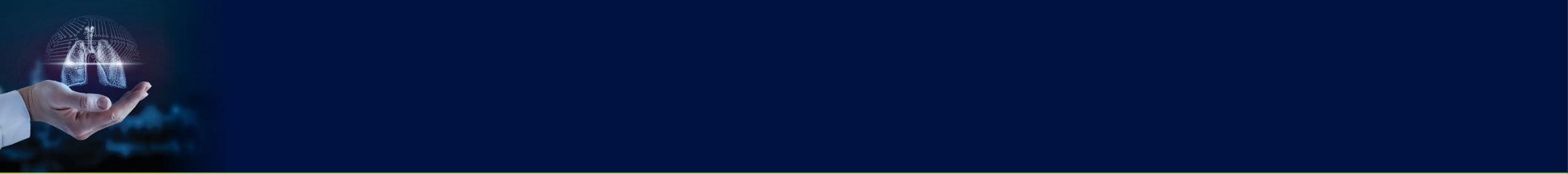
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This activity is supported by an independent educational grant from Sanofi Genzyme and Regeneron Pharmaceuticals.

*Live Webcast*



# Welcome

Jeffrey D. Dunn, PharmD, MBA

Vice President

Clinical Strategy and Program and Industry Relations

Magellan Rx Management



# The Specialty Pharmacy Review Board™

- The educational format of **The Specialty Pharmacy Review Board™** is similar to a mock pharmacy and therapeutics committee review of the clinical data, current guidelines, and economic data of a class of therapeutics
- It includes time for peer-to-peer discussion and debate among the diverse group of faculty members and the audience



# Agenda

Opening Comments/Overview

**Jeffrey Dunn, PharmD, MBA**

*Assessing the Clinical Benefits and Appropriate Use of Biologics for Difficult-to-treat or Severe Asthma*

**Michael Wechsler, MD**

*Integrating Emerging Biologic Therapies into Health Plan Asthma Treatment Algorithms*

**Edmund Pezalla, MD, MPH**

*Medical and Pharmacy Benefit Design Strategies for Biologic Therapies*

**Jeffrey Dunn, PharmD, MBA**

*Care Coordination Strategies to Enhance Patient Outcomes with Difficult-to-treat or Server Asthma*

**Steven G. Avey, MS, RPh, FAMCP**

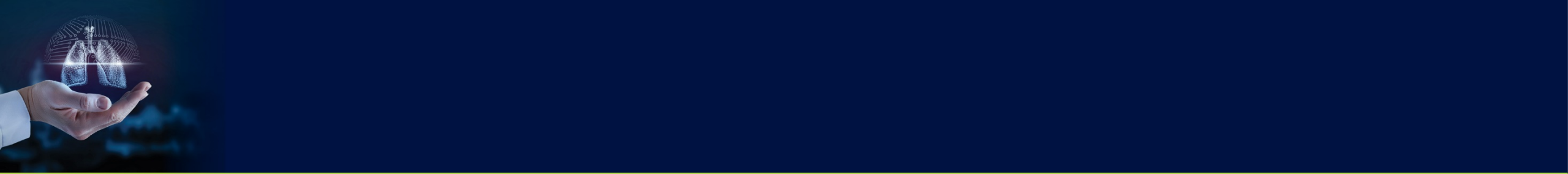
Question and Answer Session

Key Takeaways and Closing Comments



# Learning Objectives

- Discuss the current management of difficult-to-treat or severe asthma, including guideline recommendations and new and emerging treatments
- Explore techniques to assess asthma severity and symptom control
- Examine the implications for managed care of treating difficult-to-treat or severe asthma, including medical costs and resource utilization
- Employ care planning strategies to increase the delivery of coordinated, multidisciplinary care for patients with difficult-to-treat or severe asthma



# *Assessing the Clinical Benefits and Appropriate Use of Biologics for Difficult-to-treat or Severe Asthma*

**Michael Wechsler, MD**

Director, NJH Cohen Family Asthma Institute

National Jewish Health

Denver, CO



# Learning Objectives

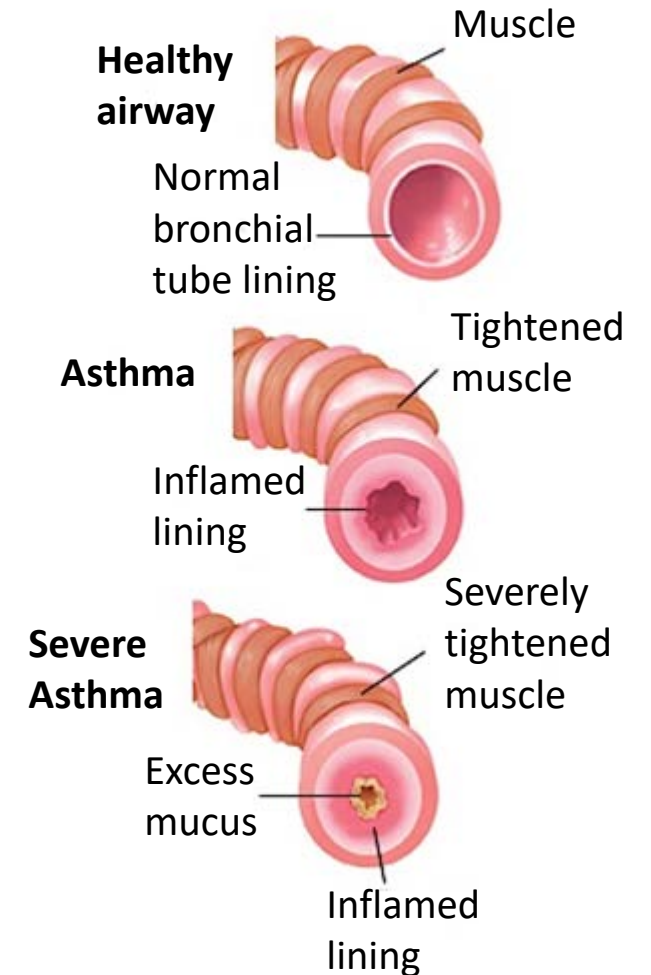
- Explore techniques to assess asthma severity and symptom control
- Discuss the current management of difficult-to-treat or severe asthma, including guideline recommendations and new and emerging treatments





# Asthma Defined

- Asthma is a heterogeneous disease, characterized by chronic airway inflammation and history of respiratory symptoms such as
  - Wheeze
  - Shortness of breath
  - Chest tightness
  - Cough that varies over time and in intensity
  - Variable airflow limitation



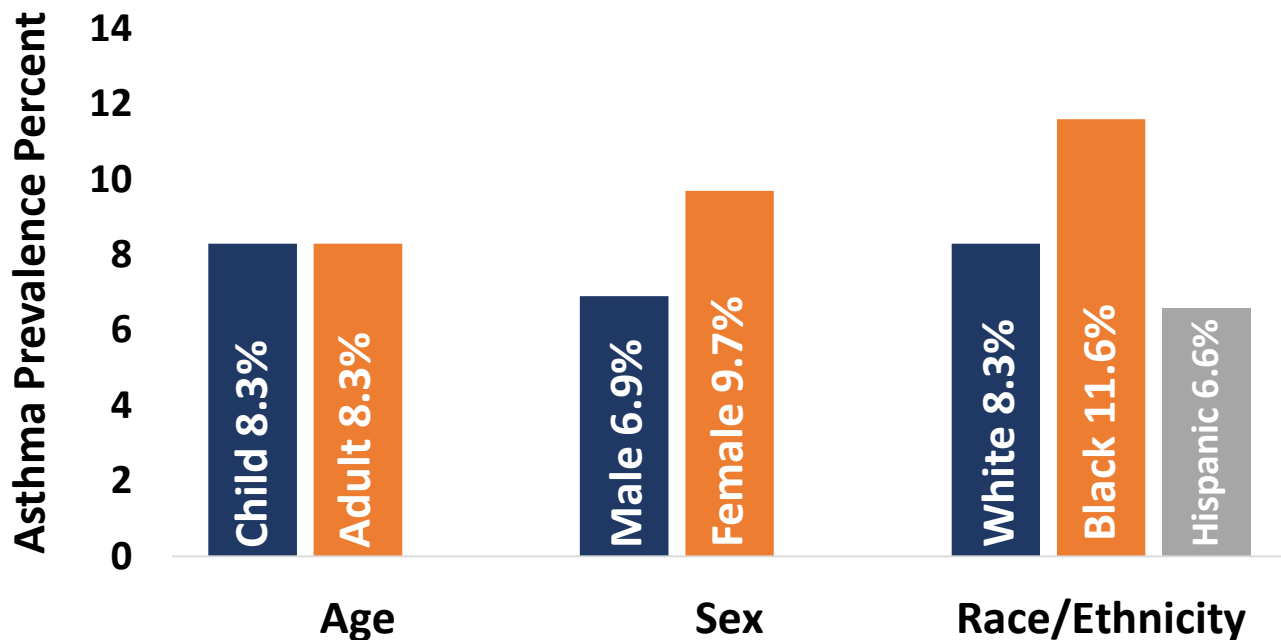




# Asthma is a Highly Prevalent Disease

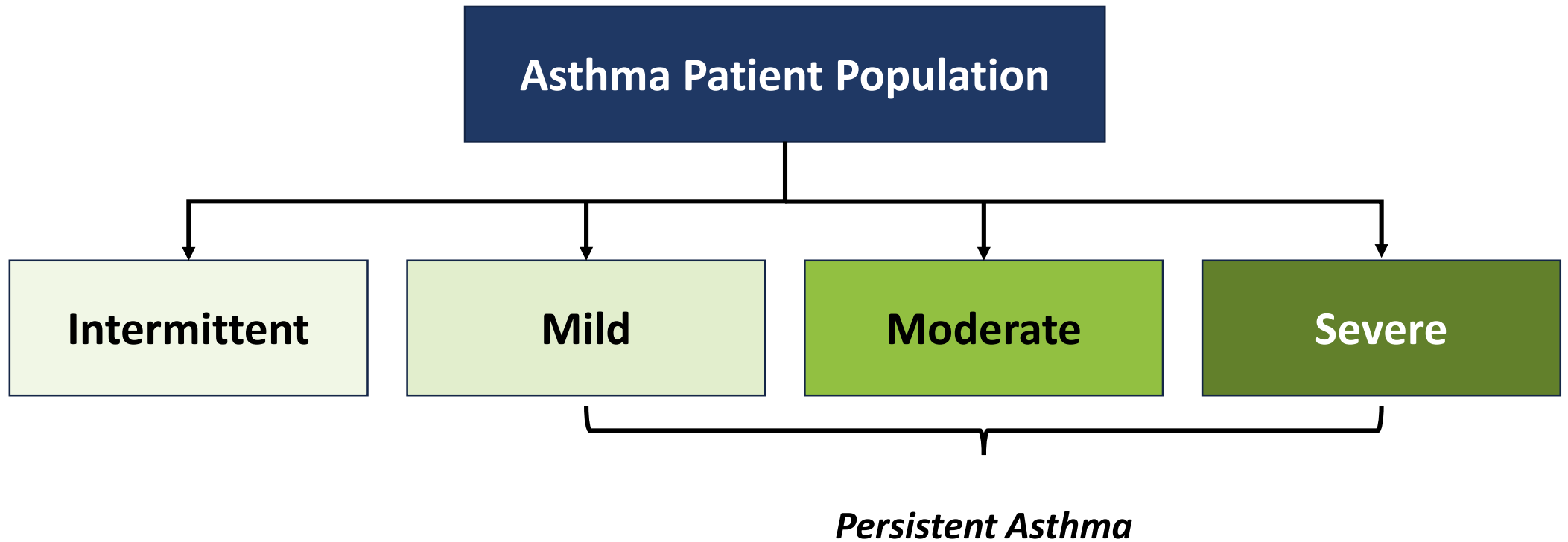
**26 million people in the US are affected by asthma, including 6 million children**

**Asthma Prevalence Percent by Age, Sex and Race/Ethnicity (2016)**





# The Asthma Patient Population is Segmented Based on Disease Severity





# Severe Asthma

- **Definition<sup>1</sup>**

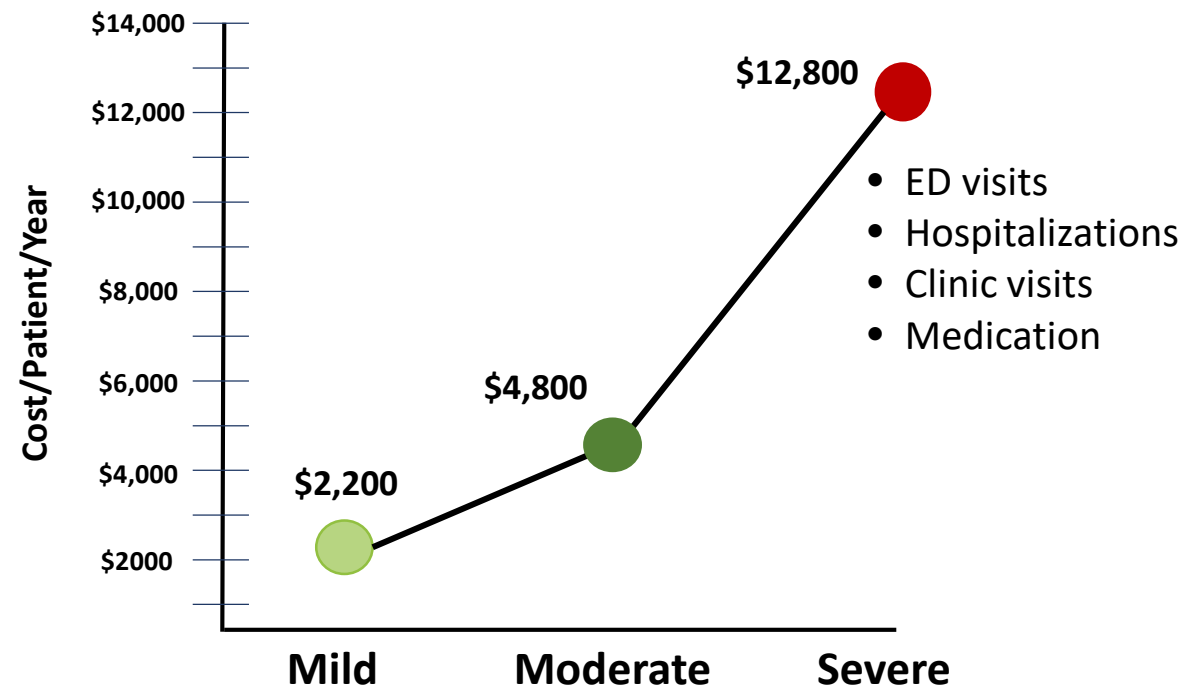
- Asthma that, despite patient adherence, requires high-dose ICS plus LABA and/or additional controller medication, or requires oral corticosteroids (OCSs) to prevent it from becoming uncontrolled, or that remains uncontrolled despite this therapy.

- **Prevalence<sup>2</sup>**

- Estimated to affect 5% to 10% of the total asthma population<sup>2</sup>

- **Implications<sup>3</sup>**

- Severe asthma is associated with higher health care costs



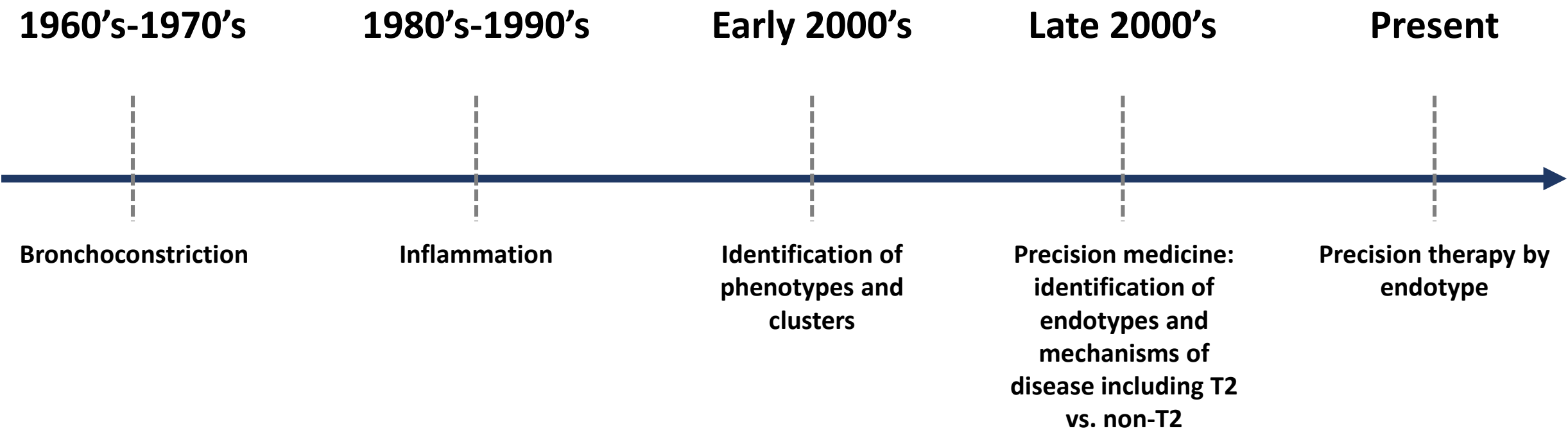
1. Chung KF, Wenzel SE, Brozek JL, et al. *Eur Respir J*. 2014;43(2):343-73.

2. Skloot GS. *Curr Opin Pulm Med*. 2016;22(1):3-9.

3. Barnett SB, Nurmagambetov TA. *J Allergy Clin Immunol*. 2011;127(1):145-52.

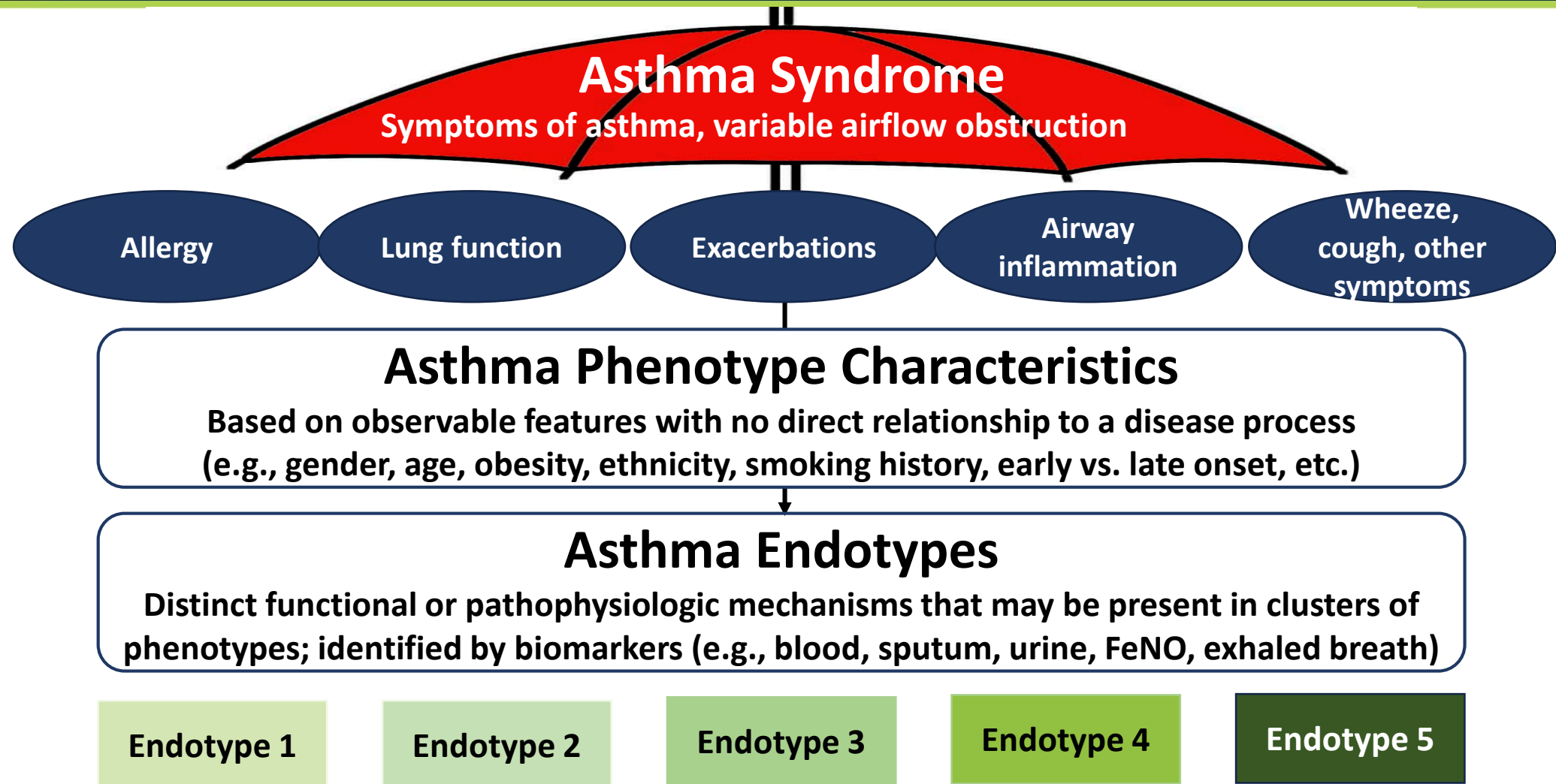


# Evolution of Asthma Classification





# Asthma is Not Just One Disease





# Asthma Phenotypes

Category	Phenotype
Trigger induced	<ul style="list-style-type: none"><li>• Allergic</li><li>• Non-allergic</li><li>• Infection</li><li>• Exercise-induced</li><li>• Aspirin-exacerbated respiratory disease (AERD)</li></ul>
Clinical presentation	<ul style="list-style-type: none"><li>• Pre-asthma wheezing in infants; episodic (viral) wheeze; multi-trigger wheezing</li><li>• Exacerbation-prone asthma</li><li>• Asthma associated with apparent irreversible airflow limitation</li></ul>





# Different Phenotypes are Associated with Different Endotypes

Category	Histopathology	Proposed Mechanism/Histology
Aspirin sensitive	<ul style="list-style-type: none"><li>• Often eosinophilic</li></ul>	<ul style="list-style-type: none"><li>• Eicosanoid-related</li><li>• Leukotriene-related gene polymorphisms</li></ul>
Allergic bronchopulmonary mycosis (ABPM)	<ul style="list-style-type: none"><li>• Bronchiectasis</li><li>• Eosinophils</li><li>• Polymorphonucleocytes (PMNs)</li></ul>	<ul style="list-style-type: none"><li>• Colonization of airways</li><li>• Human leukocyte antigen (HLA) and rare cystic fibrosis variants</li></ul>
Allergic	<ul style="list-style-type: none"><li>• Eosinophils</li><li>• Sub-basement membrane thickening</li></ul>	<ul style="list-style-type: none"><li>• Th2 dominant</li><li>• Th2 pathway</li><li>• Single nucleotide polymorphisms</li></ul>
Severe late-onset asthma	<ul style="list-style-type: none"><li>• Tissue eosinophilia</li></ul>	<ul style="list-style-type: none"><li>• Nonatopic</li><li>• Genetics unknown</li></ul>



# Potential Application of Biomarkers

## Barriers to Care in Difficult-to-Treat Asthma<sup>1-3</sup>

Inadequate treatment response to standard of care

Incomplete understanding of inflammatory mechanisms

Phenotypes and endotypes not well-established

Need for targeted therapies

Disease heterogeneity

## Utility of Biomarkers<sup>4</sup>

Define populations that will derive the most benefit from a drug

Predict disease course

Monitor the effects of therapy and adverse events

Identify new biological pathways

Facilitate identification of new drug targets

1. Lang DM. *Allergy Asthma Proc.* 2015;36(6):418-24. 2. Drazen JM. *J Allergy Clin Immunol.* 2012;129(5):1200-1.  
3. De Groot JC, Brinke At, Bel EHD. *ERJ Open Research.* 2015;1(1):00024-2015. 4. Cazzola M, Novelli G. *Pulm Pharmacol Ther.* 2010;23(6):493-500.



# Biomarkers for Severe Asthma

Biomarker	Medium	Phenotype/Endotype
IgE	<ul style="list-style-type: none"><li>• Serum</li></ul>	<ul style="list-style-type: none"><li>• Allergic (early-onset)</li></ul>
Eosinophils	<ul style="list-style-type: none"><li>• Blood</li><li>• Sputum</li></ul>	<ul style="list-style-type: none"><li>• IL-5 mediated Eosinophilic (late-onset)—allergic and non-allergic</li></ul>
Neutrophil	<ul style="list-style-type: none"><li>• Sputum</li></ul>	<ul style="list-style-type: none"><li>• Neutrophilic</li></ul>
Periostin and DPP4	<ul style="list-style-type: none"><li>• Serum</li><li>• Sputum</li></ul>	<ul style="list-style-type: none"><li>• IL-13-mediated T2-associated inflammation</li></ul>
Exhaled Nitric Oxide (FeNO)	<ul style="list-style-type: none"><li>• Exhaled breath</li></ul>	<ul style="list-style-type: none"><li>• IL-13-mediated T2-associated inflammation</li></ul>



# Biologics for Severe and Difficult-to-Treat Asthma and Their Biomarkers

- Biologic therapies target specific pathologic mechanisms
- Biomarkers used to help specify the therapeutic target(s)

MOA	Compound	IgE	Sputum Eosinophils	Blood Eosinophils	FeNO	Periostin	Other	Biomarker of Choice
Anti-IgE	Omalizumab	✓	✗	✓	✓	✓	• None	IgE
Anti-IL5	Mepolizumab	✓	✓	✓	✓	✗	• None	Blood Eos
	Reslizumab	✗	✓	✓	✓	✗	• None	Blood Eos
	Benralizumab	✗	✗	✓	✓	✗	• EOS + / - (FeNO & blood Eos algorithm to predict sputum Eos <u>or</u> FeNO > 50 ppb)	Blood Eos
Anti-IL4/IL-13	Dupilumab	✓	✓	✓	✓	✗	• TARC • YKL-40 • CEA • Eotaxin-3	Eos or eNO

FeNO: fractional exhaled nitric oxide; TARC: thymus and activation-regulated chemokine; YKL-40: chitinase-3-like-1; CEA: carcinoembryonic antigen; Eotaxin-3: aka CCL26 (chemokine (C-C motif) ligand 26)



# Asthma Biologics Target a Subset of Patients with Overlapping Phenotypes

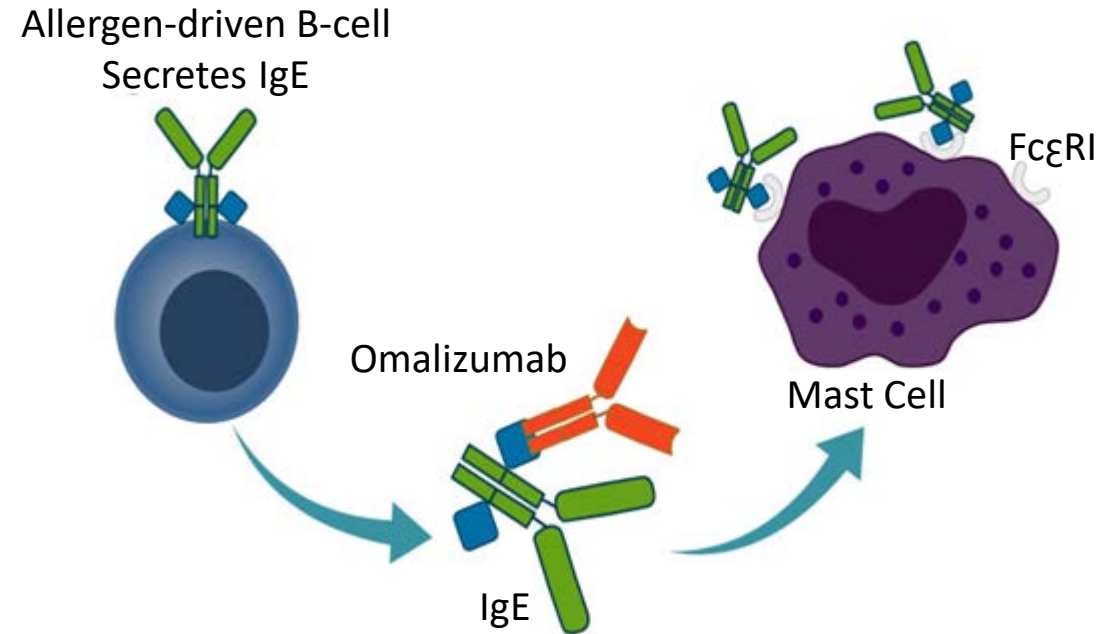
- A high level of unmet need remains in the treatment of severe asthma
- Increased understanding of the role of inflammatory cytokines in asthma pathophysiology has led to the development of multiple cytokine-inhibiting agents that target Th2 and eosinophil (EOS)-driven phenotypes
  - These agents are expected to be used in biomarker selected populations
  - However, there is significant overlap between the addressable patient populations with little guidance or validated biomarkers to suggest which patients will benefit



# Until 2015, Omalizumab Was the Only Biologic Agent Approved for Asthma

- Recombinant humanized mAb against IgE approved in 2003<sup>1-3</sup>
- **Indication:**<sup>1</sup> moderate-to-severe persistent asthma in patients  $\geq 6$  years of age with
  - A positive skin test or *in vitro* reactivity to a perennial aeroallergen **and**
  - Symptoms that are inadequately controlled with inhaled corticosteroids

## Blocking the IgE Allergic Cascade<sup>2,3</sup>



1. Xolair [package insert]. S. San Francisco, CA: Genentech USA, Inc; East Hanover, NJ: Novartis Pharmaceuticals Corp; 2018.
2. Busse WW, Morgan WJ, Gergen PJ, et al. *N Engl J Med*. 2011;364(11):1005-15.
3. Busse W, Corren J, Lanier BQ, et al. *J Allergy Clin Immunol*. 2001;108(2):184-90.





# Omalizumab Reduced Exacerbations, Symptoms, and Need for Corticosteroids in Patients with Severe Asthma

- Phase 3 randomized, double-blind, placebo-controlled trial
- n=525 patients with severe allergic asthma requiring daily inhaled corticosteroids
- Randomized to receive subcutaneous omalizumab every 2 or 4 weeks or placebo
- Inhaled corticosteroid doses kept stable over the initial 16 weeks of treatment and tapered during a further 12-week treatment period

	Omalizumab (n=268)	Placebo (n=257)	p
≥1 exacerbation in steroid-stable phase	14.6%	23.3%	.0009
≥1 exacerbation in steroid-reduction phase	21.3%	32.3%	.0004
≥50% reduction in corticosteroid use	72.4%	54.9%	<0.001



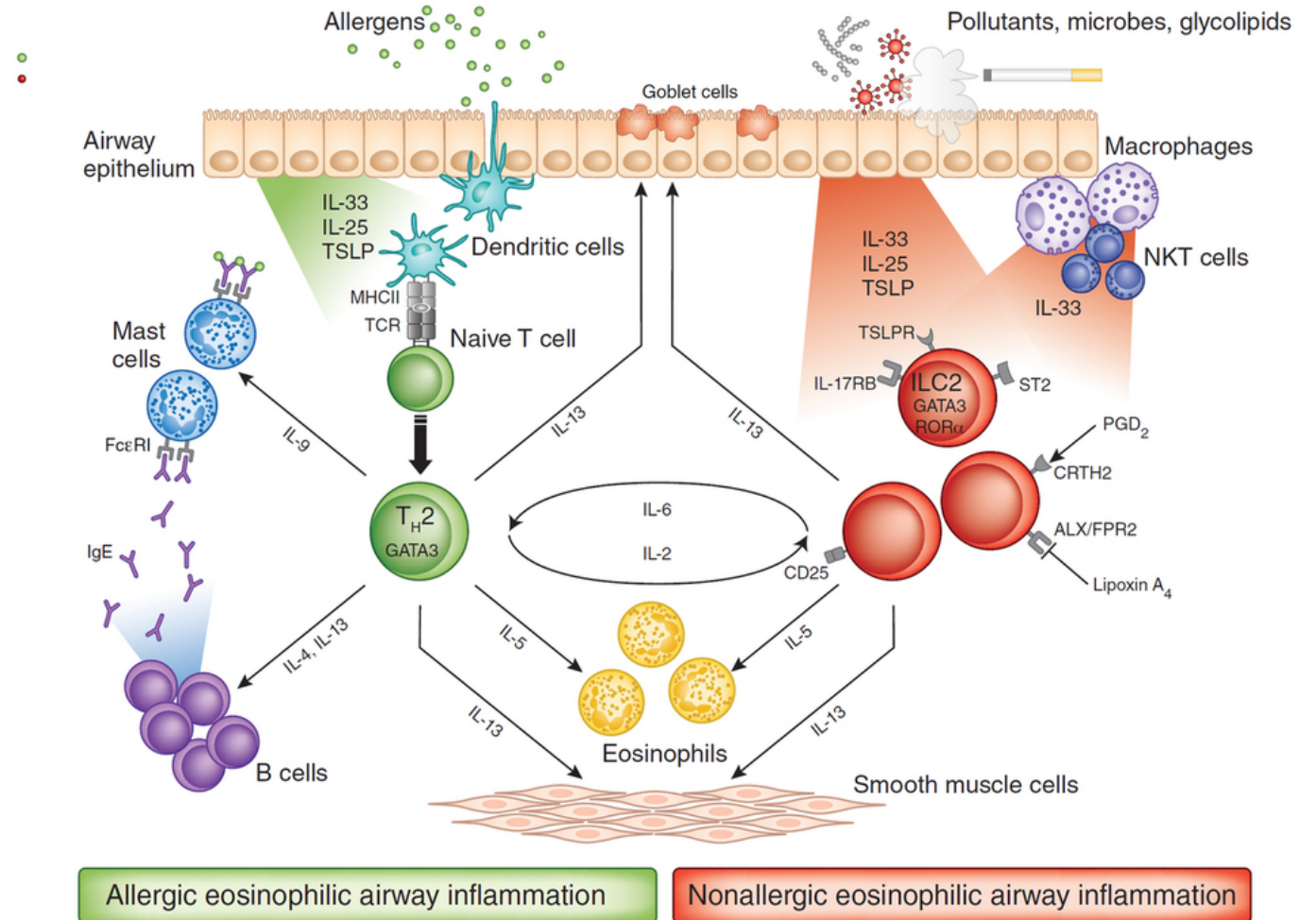
# When to Use Omalizumab

- **Patients:**  $\geq 6$  years and older with moderate-to-severe asthma not well controlled on inhaled corticosteroids or ICS/LABA combination
- **Biomarker:** Total serum IgE level of 30 to 700 IU/L
- **Atopy:** Evidence of sensitivity to inhalant allergens (ideally perennial) by skin test or RAST
- **Asthma history:** History of worsening asthma symptoms with exposure to allergens
- **Dosing:** Based on IgE level and body weight
- **Administration:** Every 2-4 weeks via subcutaneous injection in a health care setting
- **Adverse events/monitoring:** Boxed warning for severe anaphylaxis-like reactions; extended monitoring after first 1-3 doses and subsequent monitoring for 30 minutes



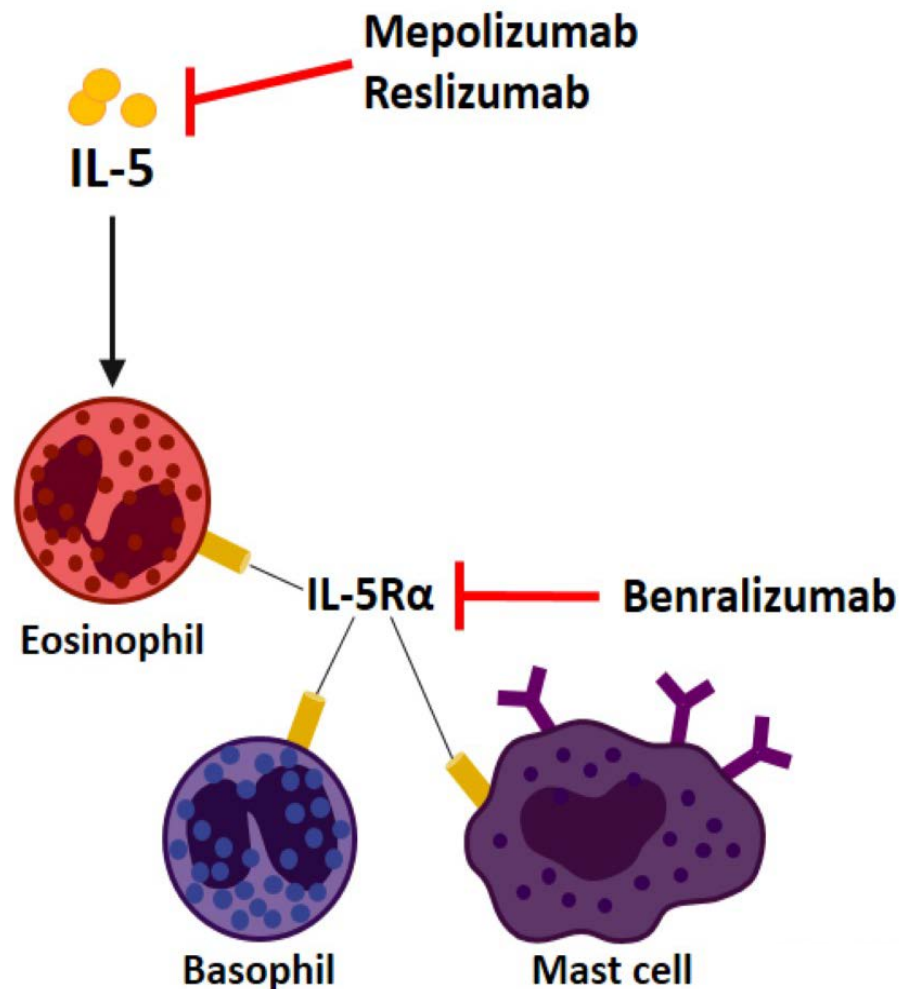
# Eosinophils in Asthma

- Raised levels of eosinophils are present in 40–60% of asthma patients
  - A reduction in asthma exacerbations follows a reduction in eosinophils
- IL-5 is the principal eosinophilic regulatory cytokine
  - It is involved in the maturation, differentiation, survival and activation of eosinophils
- IL-13 works in concert with IL-4 to influence airway inflammation, remodelling, and recruitment of eosinophils and basophils





# Eosinophilic Asthma: Role of Anti-IL-5 Agents



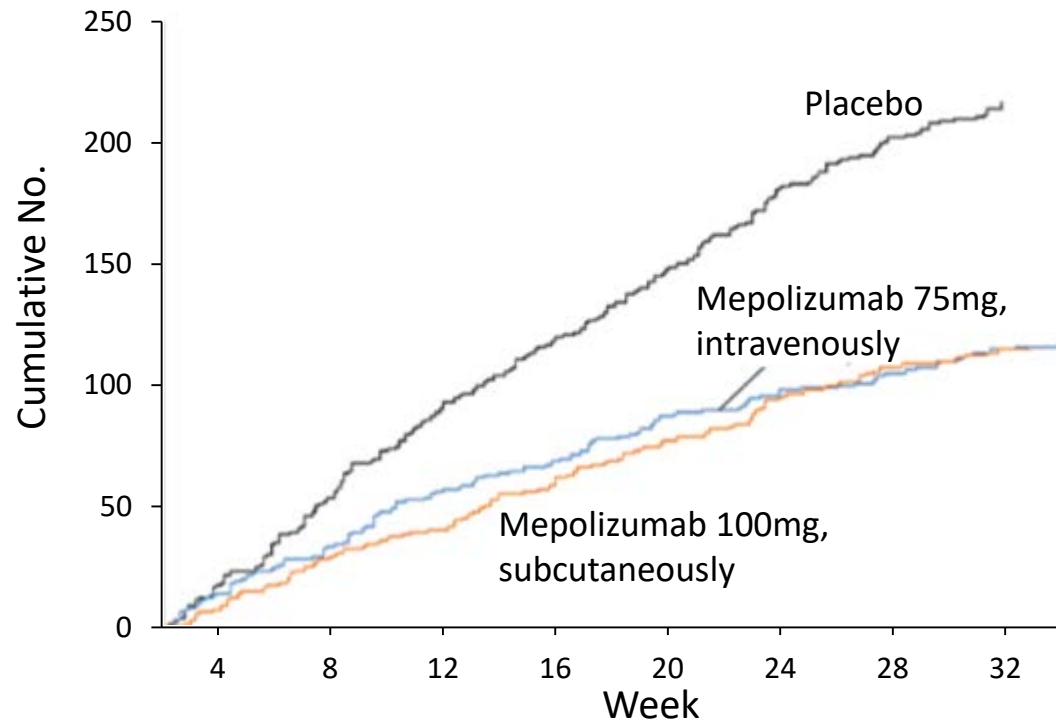
**IL-5-targeted agents decrease asthma exacerbations in patients with severe asthma who have high blood eosinophil levels**



# Mepolizumab Reduced the Rate of Clinically Significant Exacerbations in Severe Asthma

- Phase 3 randomized, double-blind, placebo-controlled trial
- n=576 patients with  $\geq 2$  severe exacerbations in past year despite high dose inhaled corticosteroids
  - Eosinophilia of 300 eos/cc  $\mu\text{L}$  in the prior year or 150 eos/cc  $\mu\text{L}$  at study entry
  - 25% of patients were on daily prednisone
- Randomized to receive mepolizumab 75 mg IV or 100 mg SC every 4 weeks or placebo
- Primary outcome: rate of exacerbations requiring systemic steroids for  $\geq 3$  days or ED visit or hospital admission

## Mepolizumab Reduced the Rate of Exacerbation vs. Placebo



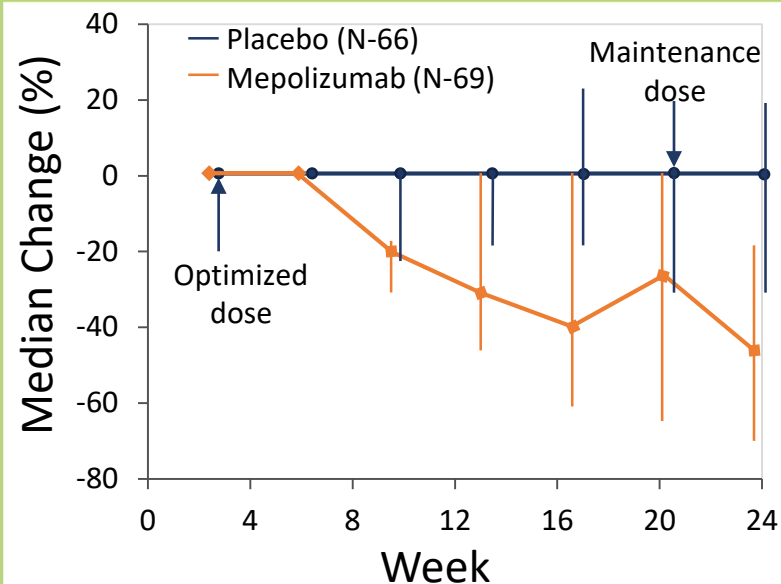
Rate of exacerbation reduced by 47% (95% CI, 29 to 61) in the IV mepolizumab group and by 53% (95% CI, 37 to 65) in the SC group vs. placebo ( $p < 0.001$  for both comparisons)



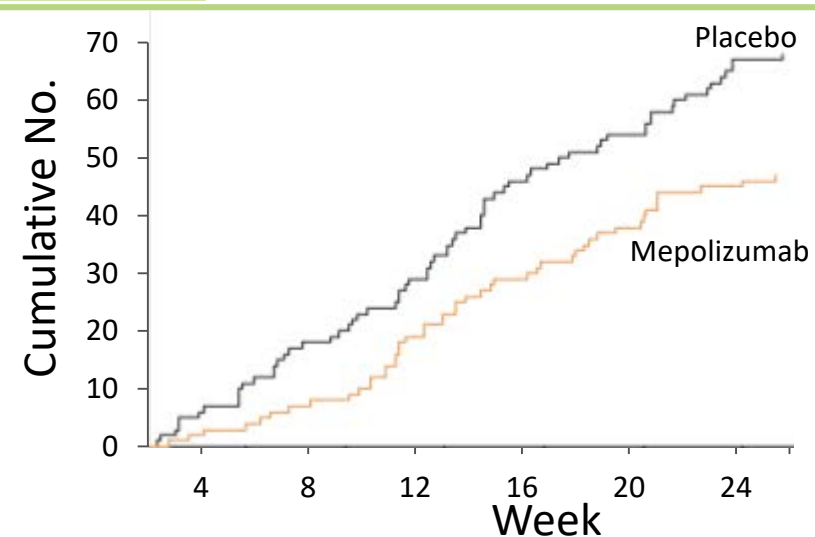


# Systemic Corticosteroid-Sparing Effect of Mepolizumab in Eosinophilic Asthma

- Phase 3 randomized, double-blind, placebo-controlled trial
- n=135 patients with severe eosinophilic asthma
  - Eosinophilia of 300 eos/cc  $\mu$ L in the prior year or 150 eos/cc  $\mu$ L at study entry
  - All patients had a 6 month history of daily prednisolone (5-35 mg/d)
  - All patients were on high dose inhaled corticosteroids and LABA or other controller
- Randomized to receive mepolizumab 100 mg SC every 4 weeks or placebo for 20 weeks
- Primary outcome: reduction in steroid use



Median percentage reduction in systemic corticosteroid use was 50% in the mepolizumab group vs. 0% in the placebo (p=0.007)

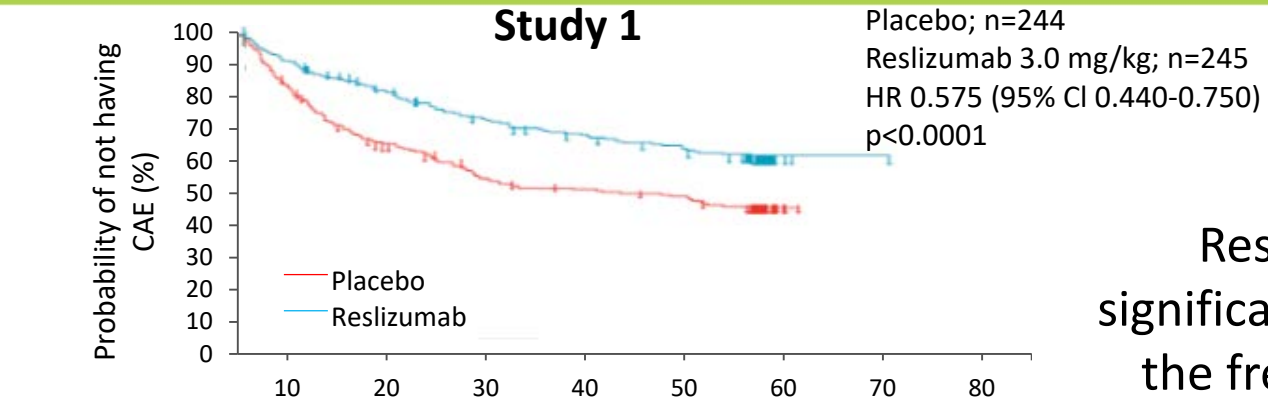






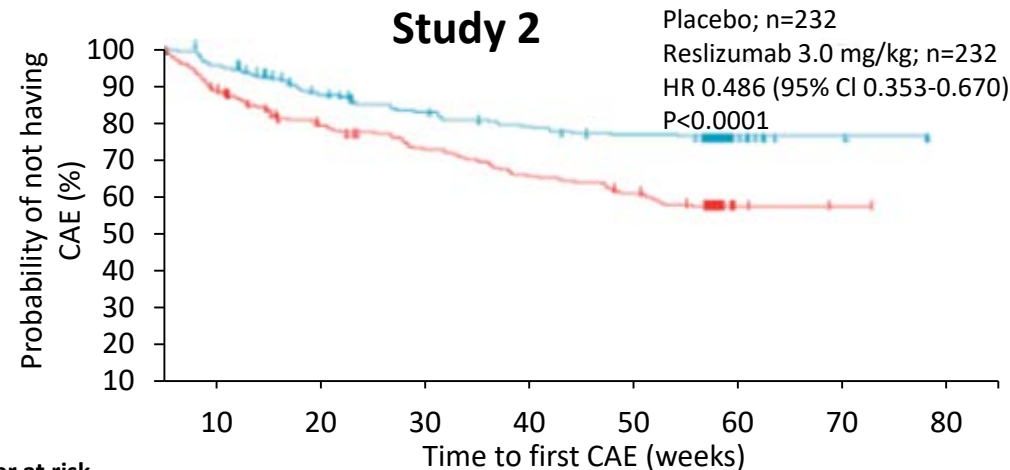
# Reslizumab for Inadequately Controlled Asthma

- Two parallel phase 3, double-blind, placebo-controlled trials
- n=953 patients with inadequately controlled asthma and blood eosinophils  $\geq 400$  cells/ $\mu$ L
- Randomized to receive reslizumab 3 mg/kg every 4 weeks or placebo for 52 weeks by IV infusion
- Primary outcome: annual frequency of clinical exacerbations



Number at risk

Placebo	244	169	138	112	107	97	0	0	0
Reslizumab	245	207	177	158	146	136	1	0	0



Number at risk

Placebo	232	182	156	139	125	108	2	0	0
Reslizumab	232	205	177	165	156	153	4	1	0

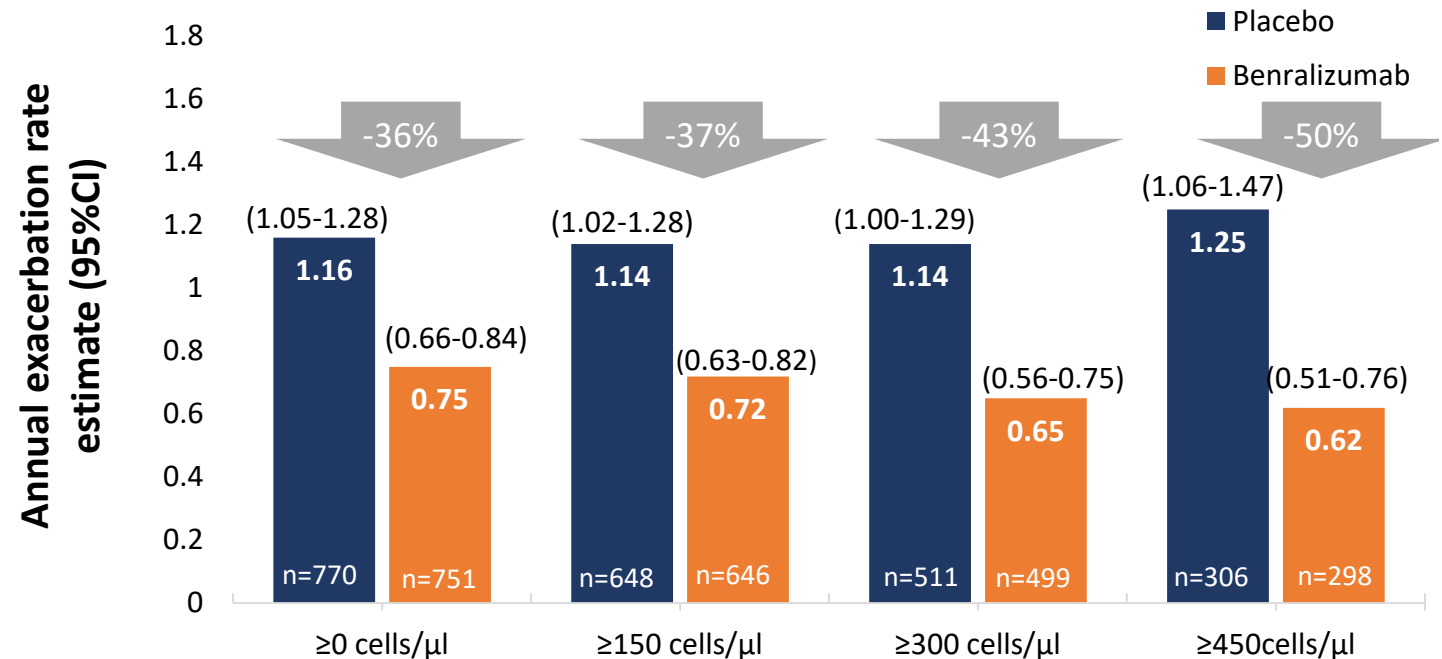
Reslizumab significantly reduced the frequency of asthma exacerbations (p<0.0001 vs placebo) in both studies



# Benralizumab in Eosinophilic Asthma

- Two parallel phase 3, double-blind, placebo-controlled trials
- n=2511 patients with inadequately controlled asthma and  $\geq 2$  exacerbations in the prior year
- Stratified by blood eosinophils  $\geq 300$  cells/ $\mu$ L vs.  $< 300$  cells/ $\mu$ L
- Randomized to receive SC benralizumab 30 mg every 4 weeks, or every 8 weeks or placebo for 48 weeks (Study 1) or 56 weeks (Study 2)
- Primary outcome: annual exacerbation rate ratio

**Pooled Annual Asthma Exacerbation Rate Reduction with Benralizumab Q8W by Eosinophil Ranges**





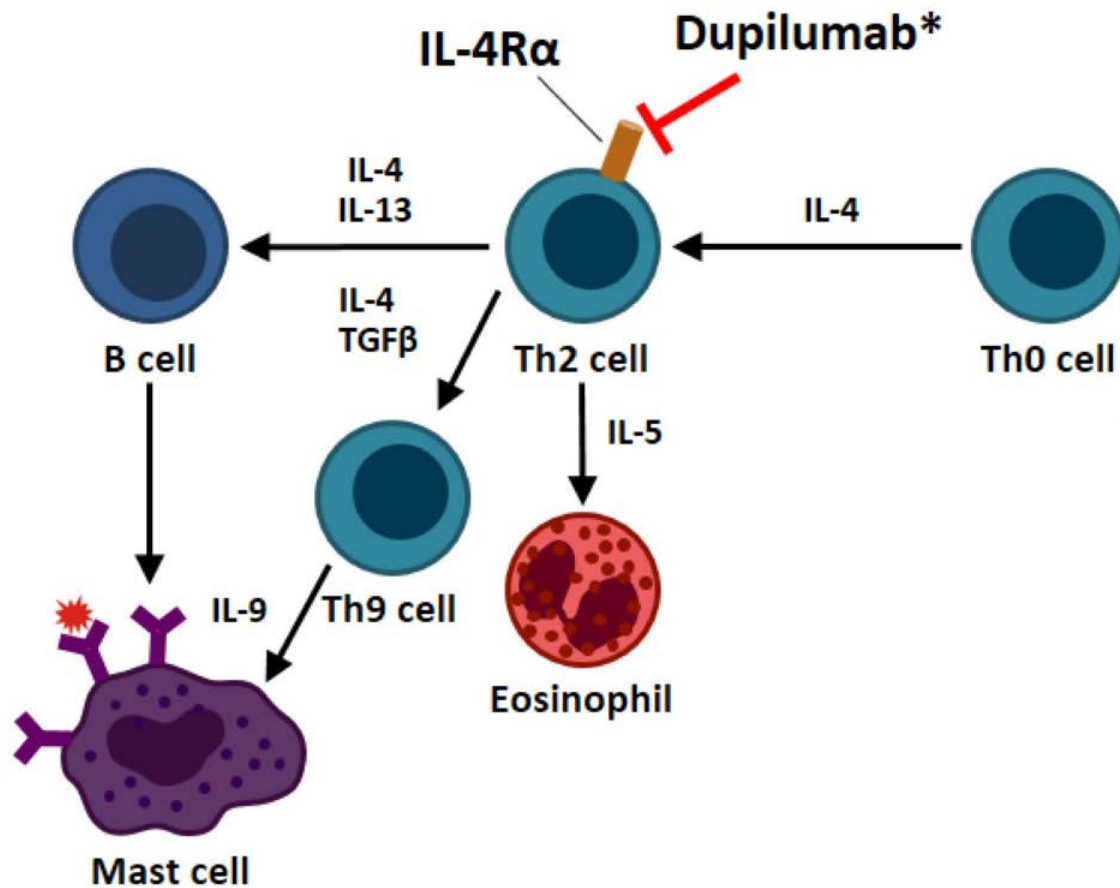
# Clinical Use of Anti-IL-5 Therapies

Drug (Date of Approval)	Indication	Dosing and Administration	Biomarker	Serious Adverse Event(s)
Mepolizumab (November 2015)	Add-on maintenance treatment of patients with severe asthma $\geq 12$ years and with an eosinophilic phenotype	100 mg administered once every 4 weeks by SC injection in a health care setting	Blood eosinophils $>300$ cells/mL in the past 12 months or $>150$ cells/mL in the past 6 weeks	Risk of anaphylaxis and herpes zoster virus
Reslizumab (March 2016)	Add-on maintenance treatment of patients with severe asthma $\geq 18$ years and with an eosinophilic phenotype	3 mg/kg once every 4 weeks administered by IV infusion over 20-50 min in a health care setting	Blood eosinophils $>300$ cells/mL in the past 12 months or $>150$ cells/mL in the past 6 weeks	Risk of anaphylaxis and malignancy
Benralizumab (November 2017)	Add-on maintenance treatment of patients with severe asthma $\geq 12$ years and with an eosinophilic phenotype	30 mg every 4 weeks by SC injection for the first 3 doses, followed by once every 8 weeks in a health care setting	Blood eosinophils $>150$ cells/mL within the past 3 months	Risk of hypersensitivity reactions and parasitic infection

1. Nucala [package insert] Research Triangle Park, NC: GlaxoSmithKline; December 2017.  
2. Cinqair [package insert] Frazer, PA: Teva Pharmaceutical Industries; May 2016;  
3. Fasenra [package insert] . Wilmington, DE: AstraZeneca Pharmaceuticals LP; November 2017.



# Anti-IL-4/IL-13 Agents for the Treatment of Severe Asthma



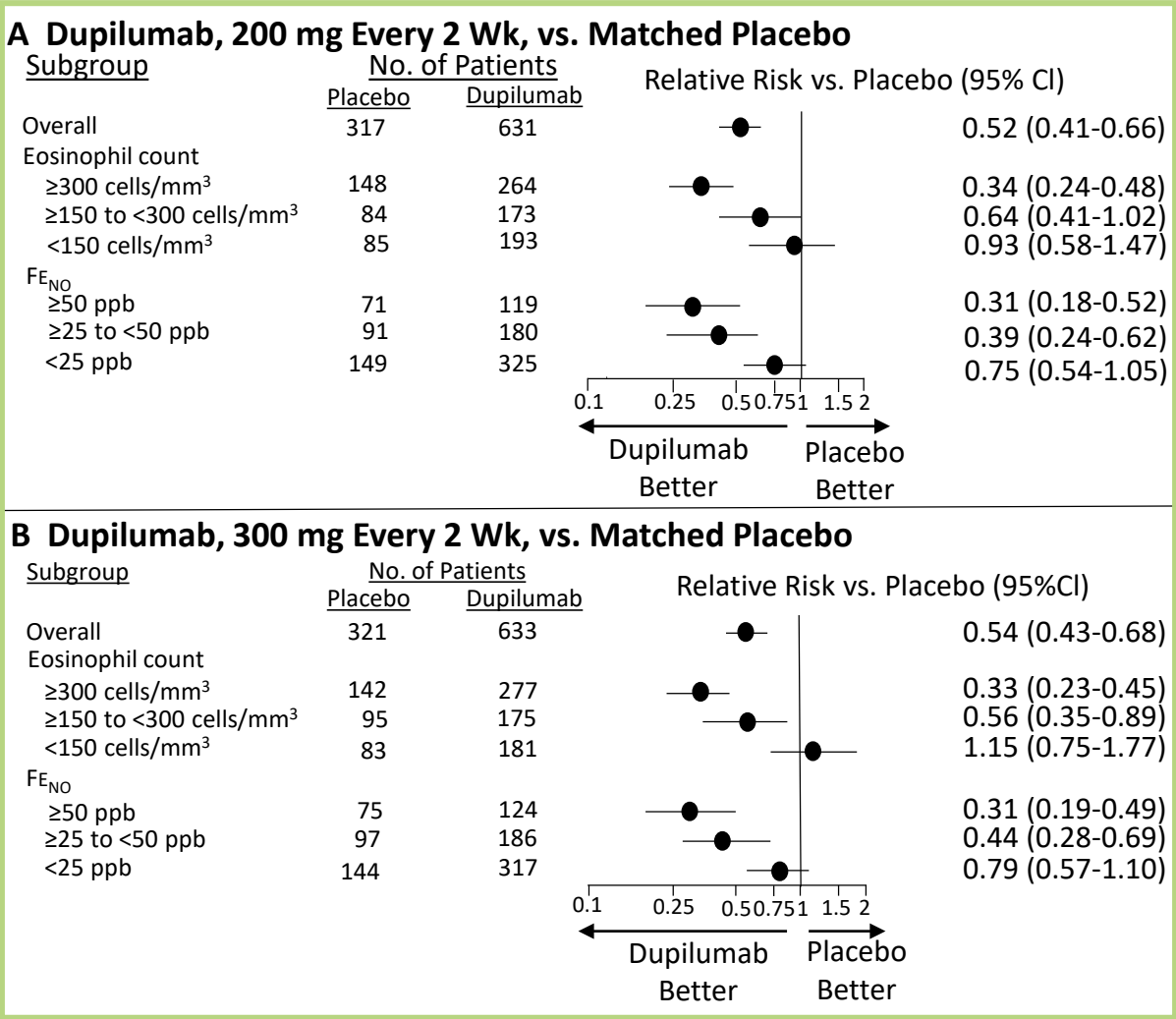
- Dupilumab targets a receptor mediating both IL-4 and IL-13 and appears to be effective in patients with severe, uncontrolled asthma
- October 19, 2018 approved for patients  $\geq 12$  years:
  - Moderate and severe asthma patients with eosinophilic phenotype
  - Oral corticosteroid-dependent asthma, regardless of phenotype



# Dupilumab Significantly Lowers Rates of Severe Exacerbation in a Phase 3 Trial

- Phase 3, randomized, double-blind, placebo-controlled trial
- n=1902 patients ≥12 years of age with uncontrolled asthma stratified by baseline blood eosinophil level
- Randomized to receive add-on SC dupilumab at a dose of 200 or 300 mg every 2 weeks or placebo for 52 weeks
- Primary outcomes: Annualized rate of severe asthma exacerbations and the absolute change from baseline to week 12 in FEV<sub>1</sub> before bronchodilator use

## Risk of Severe Asthma Exacerbations





Least-Squares Mean Change from Baseline in FEV<sub>1</sub> (liters)

Week

Legend:

- Dupilumab, 300 mg
- Dupilumab, 200 mg
- Placebo, 2.00 ml
- Placebo, 1.14 ml

Week	Dupilumab, 300 mg	Dupilumab, 200 mg	Placebo, 2.00 ml	Placebo, 1.14 ml
0	0.00	0.00	0.00	0.00
2	0.28	0.25	0.13	0.11
4	0.30	0.28	0.16	0.17
6	0.34	0.32	0.18	0.18
8	0.32	0.32	0.22	0.18
10	0.34	0.34	0.21	0.18
12	0.34	0.32	0.21	0.19
16	0.35	0.33	0.22	0.18
20	0.34	0.34	0.24	0.17
24	0.33	0.34	0.22	0.17
28	0.34	0.34	0.22	0.19
32	0.34	0.34	0.24	0.19
36	0.35	0.35	0.23	0.17
40	0.34	0.35	0.24	0.20
44	0.34	0.34	0.24	0.17
48	0.36	0.35	0.24	0.19
52	0.35	0.36	0.22	0.16

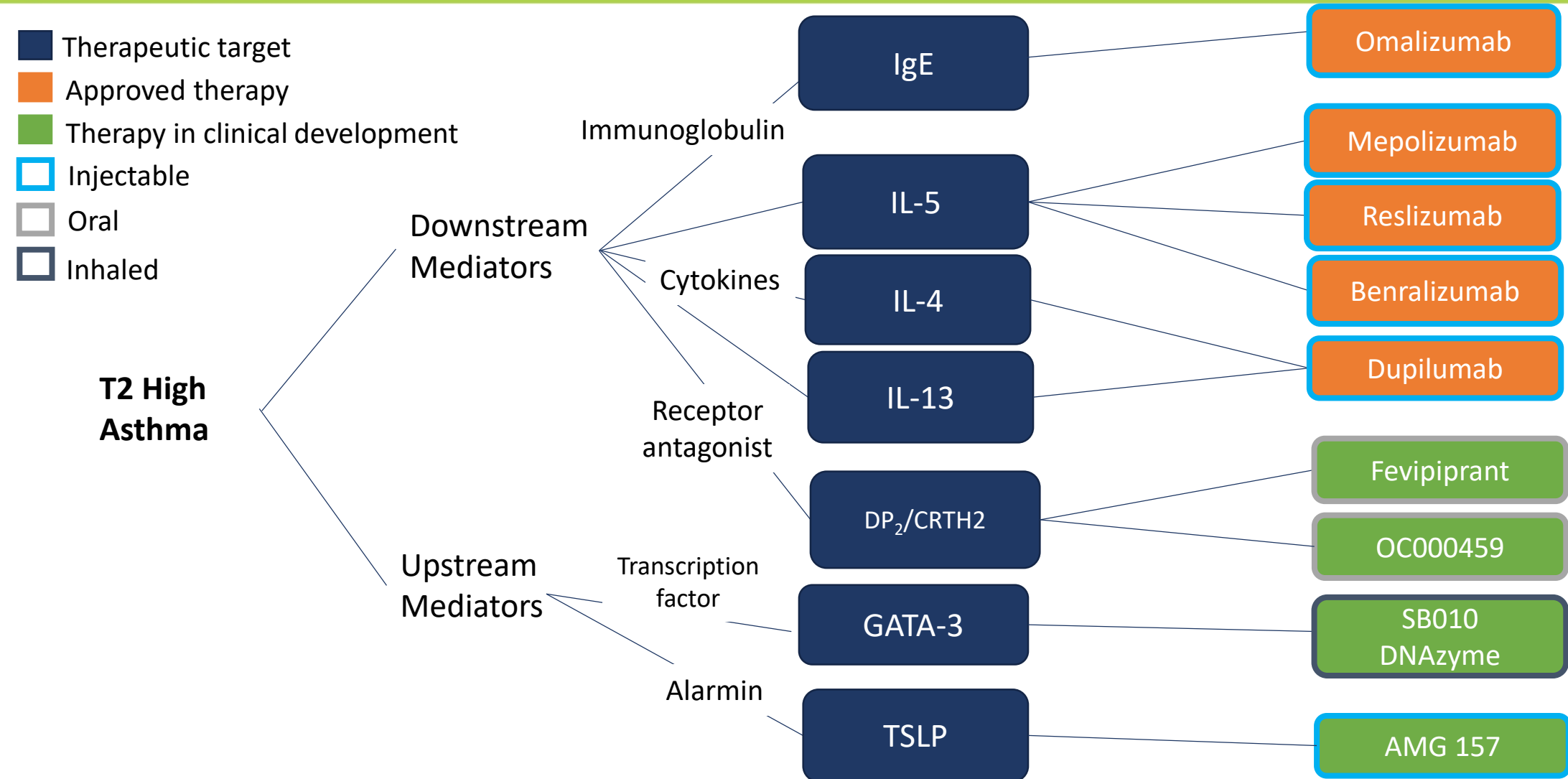
No. at Risk																	
Dupilumab, 300 mg	633	625	614	612	609	598	610	611	593	596	586	579	584	584	570	562	488
Dupilumab, 200 mg	631	610	613	615	604	607	611	605	601	599	589	585	590	577	581	570	477
Placebo, 2.00 ml	321	313	311	313	311	309	313	310	304	296	304	301	301	297	292	290	250
Placebo, 1.14 ml	317	315	307	301	305	301	307	300	303	300	290	286	289	287	288	281	240

Castro M, Corren J, Pavord ID, et al. *N Engl J Med*. 2018;378(26):2486-2496.





# Approved and Agents with Published Human Data in Late-Phase Development for Severe Asthma





# Summary

- Asthma is a heterogenous disease yet we have been treating it as one
- Identification of multiple phenotypes and associated biomarkers (IgE, eosinophils, etc.) may help better align patients and targeted therapy
- Treatment with biologic agents targeting IgE and Th2 cytokines IL-4, IL-5, and IL-13 are efficacious and safe asthma therapies



# *Integrating Emerging Biologic Therapies into Health Plan Asthma Treatment Algorithms*

**Edmund Pezalla, MD, MPH**

CEO

Enlightenment Bioconsult, LLC

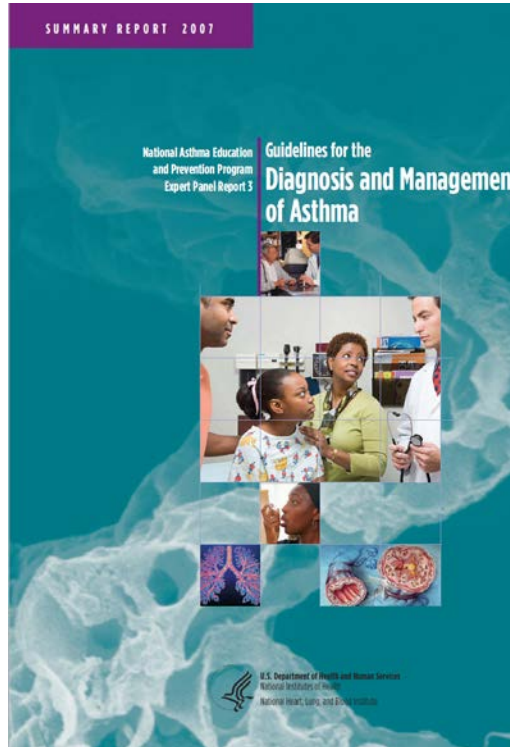


# Learning Objective

- Discuss the current management of difficult-to-treat or severe asthma, including guideline recommendations and new and emerging treatments



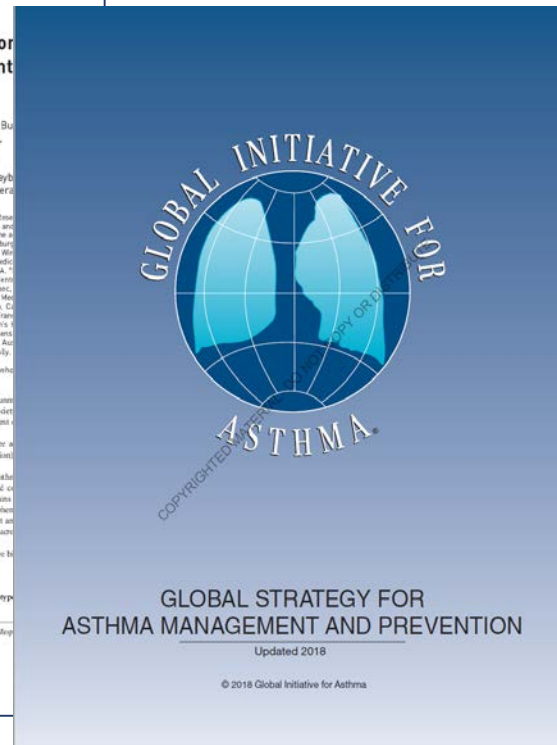
# Asthma Treatment Guidelines



*National Asthma  
Education and  
Prevention Program  
2007*



*ERS/ATS  
Guidelines on  
Severe Asthma  
2014*



*Global Initiative  
for Asthma  
2018*

- Understanding of the immunopathologic mechanisms of asthma continues to increase
- This has resulted in the introduction of biologic therapies that target specific steps in the dysregulated immune processes underlying the disease
- Due to the fast pace of innovation, treatment guidelines often do not reflect the most recently introduced treatment options



# General Principles of Asthma Management

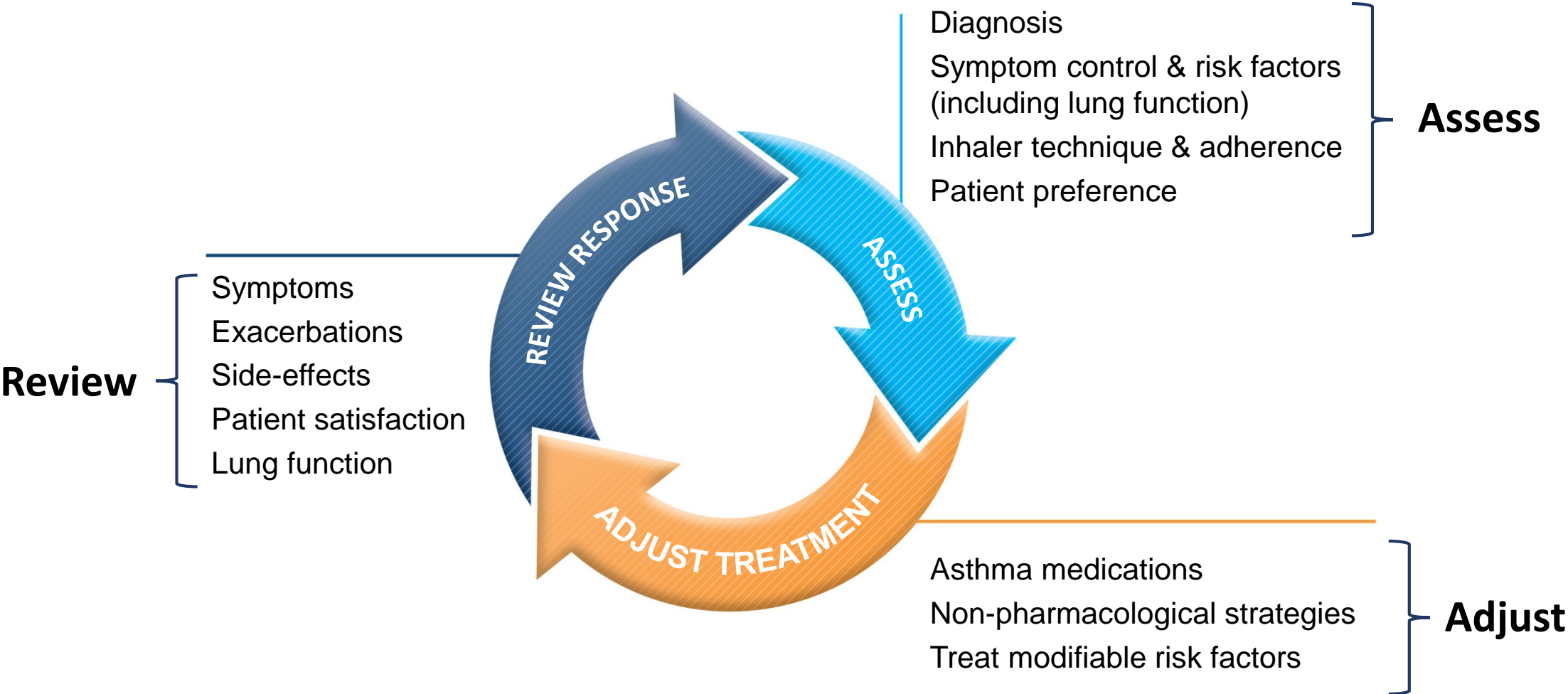
- Assess asthma severity and degree of control
  - **Severity:** the intrinsic intensity of the disease process
  - **Control:** the degree to which the manifestations of asthma are minimized by therapy
- Assess impairment and risk
  - **Impairment:** the frequency and intensity of symptoms and functional limitations
  - **Risk:** the likelihood of asthma exacerbations, progressive decline in lung function or adverse effects from medication
- Employ a control-based management approach to treatment
  - Continuously review the response to treatment and adjust as needed to achieve/maintain control
- Consider patient characteristics, phenotype, preferences, and practical issues (e.g., adherence, cost, etc.) when selecting therapy and evaluating response
- Establish a partnership between the person with asthma and health care providers

National Asthma Education and Prevention Program Expert Panel Report 3: Guidelines for the Diagnosis and Management of Asthma. National Heart, Lung, and Blood Institute website. <https://www.nhlbi.nih.gov/files/docs/guidelines/asthsumm.pdf>. Published October 2007. Accessed September 2018.

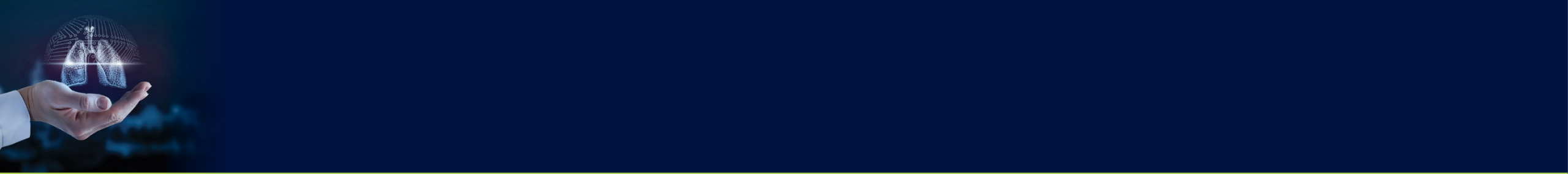
Global strategy for asthma management and prevention. Global Initiative for Asthma website. <https://ginasthma.org/2018-gina-report-global-strategy-for-asthma-management-and-prevention/>. Updated 2018. Accessed September 2018.



# Control-Based Asthma Management Cycle







# *Assessing Asthma Status*



# Assessing Asthma Severity

- **How:**


- Asthma severity is assessed retrospectively from the level of treatment required to control symptoms and exacerbations

- **When:**

- All patients should have an initial severity assessment based on current impairment and future risk in order to determine type and level of initial therapy needed
- Re-assess severity after patient has been on controller treatment for several months

- **Severity categories:**

- *Mild asthma*: well-controlled with as-needed short-acting b-agonists (SABA) or low dose inhaled corticosteroids (ICS)
- *Moderate asthma*: well-controlled with low-dose ICS/long-acting b-agonists (LABA)
- *Severe asthma*: requires moderate or high-dose ICS/LABA ± add-on or remains uncontrolled despite this treatment

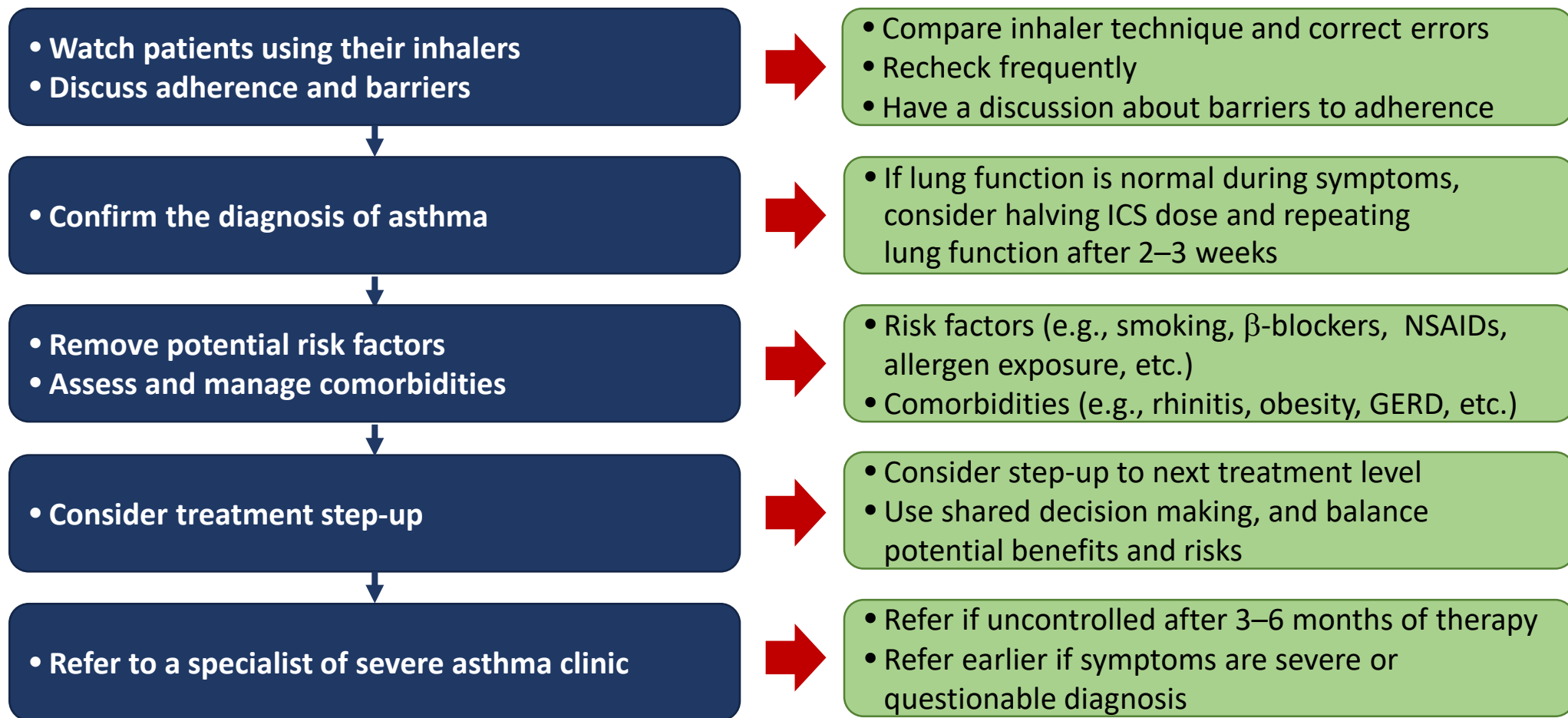


# NAEPP Approach to Classification of Asthma Severity (Age ≥12 Years)

Components of severity		Classification of asthma severity (age ≥12 y)			
		Intermittent	Persistent		
			Mild	Moderate	Severe
Impairment	Symptoms	≤2 d/wk	>2 d/wk but not daily	Daily	Throughout the day
	Nighttime awakenings	≤2x mo	3-4x mo	>1x wk but not nightly	Often 7x wk
	Short-acting β <sub>2</sub> -agonist use for symptom control (not prevention of EIB)	≤2 d/wk	>2 d/wk but not daily and not more that 1x on any day	Daily	Several times per day
	Interference with normal activity	none	Minor limitation	Some limitation	Extremely limited
	Lung function Normal FEV <sub>1</sub> : FVC ratio 20-39 y 80% 40-59 y 75% 60-80 y 70%	<ul style="list-style-type: none"><li>• Normal FEV<sub>1</sub>, between exacerbations</li><li>• FEV<sub>1</sub>, &gt;80% predicted</li><li>• FEV<sub>1</sub>: FVC normal</li></ul>	<ul style="list-style-type: none"><li>• FEV<sub>1</sub>, &gt; 80% predicted</li><li>• FEV<sub>1</sub>: FVC normal</li></ul>	<ul style="list-style-type: none"><li>• FEV<sub>1</sub>, &gt;60% but &lt;80% predicted</li><li>• FEV<sub>1</sub>: FVC normal</li></ul>	<ul style="list-style-type: none"><li>• FEV<sub>1</sub>, &lt;60% predicted</li><li>• FEV<sub>1</sub>: FVC reduced &gt;5%</li></ul>
Risk	Exacerbations requiring oral systemic corticosteroids	0-1/y	≥2/y	≥2/y	≥2/y
		Consider severity and interval since last exacerbation Frequency and severity may fluctuate over time for patients in any severity category Relative annual risk of exacerbation may be related to FEV <sub>1</sub>			
Recommended step for initiating treatment (see Figure 3 for treatment steps)		Step 1	Step 2	Step 3	Step 4 or 5
		and consider short course of oral systemic corticosteroids			
		In 2-6 weeks, evaluate level of asthma control that is achieved and adjust therapy accordingly			



# How to Distinguish Between Uncontrolled and Severe Asthma





# Sample Patient Asthma Severity Self-Assessment

**Your Asthma Control**

How many days in the past week have you had chest tightness, cough, shortness of breath, or wheezing (whistling in your chest)?

0

1

2

3

4

5

6

7

How many nights in the past week have you had chest tightness, cough, shortness of breath, or wheezing (whistling in your chest)?

0

1

2

3

4

5

6

7

Do you perform peak flow readings at home?

yes

no

If yes, did you bring your peak flow chart?

yes

no

How many days in the past week has asthma restricted your physical activity?

0

1

2

3

4

5

6

7

Have you had any asthma attacks since your last visit?

yes

no

Have you had any unscheduled visits to a doctor, including to the emergency department, since your last visit?

yes

no

How well controlled is your asthma, in your opinion?

very well controlled

somewhat controlled

not well controlled

Average number of puffs per day of quick-relief medication (short acting beta<sub>2</sub>-agonist)

**Taking your medicine**

What problems have you had taking your medicine or following your asthma action plan?

Please ask the doctor or nurse to review how you take your medicine.

**Your questions**

What questions or concerns would you like to discuss with the doctor?

How satisfied are you with your asthma care?

very satisfied

somewhat satisfied

not satisfied

These questions are examples and do not represent a standardized assessment instrument. Other examples of asthma control questions: Asthma Control Questionnaire (Juniper); Asthma Therapy Assessment Questionnaire (Volmer); Asthma Control Test (Nathan); Asthma Control Score (Boulet)



# Benchmarks of Good Asthma Control

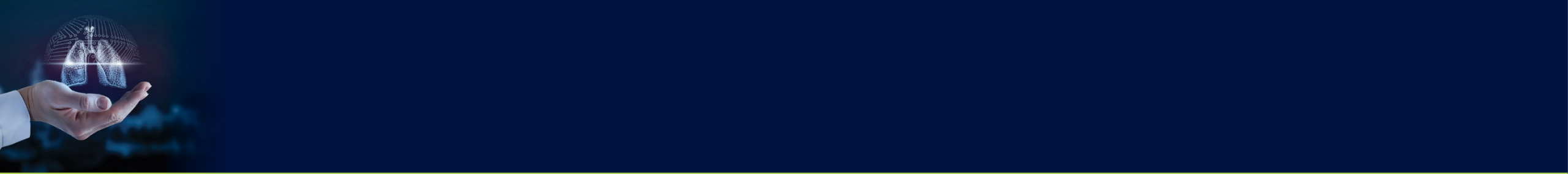
- ✓ No coughing or wheezing
- ✓ No shortness of breath or rapid breathing
- ✓ No waking up at night
- ✓ Normal physical activities
- ✓ No school absences or missed work due to asthma
- ✓ No missed time from work for parent or caregiver



# Risk Factors for Poor Asthma Outcomes

Exacerbations	Progressive Lung Function Decline	Treatment AEs
<ul style="list-style-type: none"><li>• Uncontrolled asthma symptoms</li><li>• High SABA use (<math>\geq 3</math> canisters/year)</li><li>• <math>\geq 1</math> exacerbation in last 12 months</li><li>• Low FEV<sub>1</sub>; higher bronchodilator reversibility</li><li>• Incorrect inhaler technique and/or poor adherence</li><li>• Smoking</li><li>• Obesity, chronic rhinosinusitis, pregnancy, blood eosinophilia</li><li>• Elevated fractional exhaled nitric oxide (FeNO) in adults with allergic asthma taking ICS</li><li>• Ever intubated for asthma</li></ul>	<ul style="list-style-type: none"><li>• No ICS treatment</li><li>• Smoking</li><li>• Occupational exposure</li><li>• Mucus hypersecretion</li><li>• Blood eosinophilia</li><li>• Pre-term birth</li><li>• Low birth weight</li></ul>	<ul style="list-style-type: none"><li>• Frequent oral steroids</li><li>• High dose/potent ICS</li><li>• P450 inhibitors</li></ul>





# *Selecting and Adjusting Asthma Therapy*



# Choosing Between Controller Options: Population Level Decisions

## Choosing Between Treatment Options at a Population Level

(e.g., national formularies, health maintenance organizations, national guidelines)

The 'preferred treatment' at each step is based on:

- Efficacy
  - Effectiveness
  - Safety
  - Availability and cost at the population level
- } Based on group mean data for symptoms, exacerbations and lung function (from RCTs, pragmatic studies and observational data)



# Choosing Between Controller Options: Patient Level Decisions

## Decisions for Individual Patients

Use shared decision making with the patient/parent/carer to discuss the following:

1. Preferred treatment for symptom control and for risk reduction
2. Patient characteristics (phenotype)
  - Does the patient have any known predictors of risk or response? (e.g., smoker, history of exacerbations, blood eosinophilia)
3. Patient preference
  - What are the patient's goals and concerns for their asthma?
4. Practical issues
  - **Inhaler technique:** Can the patient use the device correctly after training?
  - **Adherence:** How often is the patient likely to take the medication?
  - **Cost:** Can the patient afford the medication?



# Current Guidelines Recommend a Stepped Approach to Asthma Therapy

Stepping up should be regarded as a “Therapeutic Trial”

- ✓ Day-to-day adjustment
- ✓ Short-term step-up (1-2 weeks)
- ✓ Sustained step-up (2-3 months)

Before stepping therapy, check:

- ✓ Diagnosis
- ✓ Adherence
- ✓ Inhaler technique
- ✓ Modifiable risk factors



# 2018 GINA-Recommended Asthma Pharmacotherapy




# Step 5: Treatment of Severe Asthma

					<b>Step 5</b>  Refer for add-on treatment (e.g., tiotropium, anti-IgE, anti-IL-5/5R)	
<b>Preferred Controller Choice</b>	<b>Step 1</b>	<b>Step 2</b>  Low Dose ICS		<b>Step 3</b>  Low Dose ICS/LABA	<b>Step 4</b>  Medium/ High Dose ICS/LABA	
<b>Other Controller Options</b>	Consider low dose ICS	Leukotriene receptor antagonists (LTRA) Low dose theophylline		Med/high dose ICS+LTRA (or + theophylline)	Add tiotropium med/high dose ICS+LTRA (or + theophylline)	Add low dose ICS
<b>Reliever</b>	As-needed SABA			As-needed SABA or low dose ICS/formoterol		



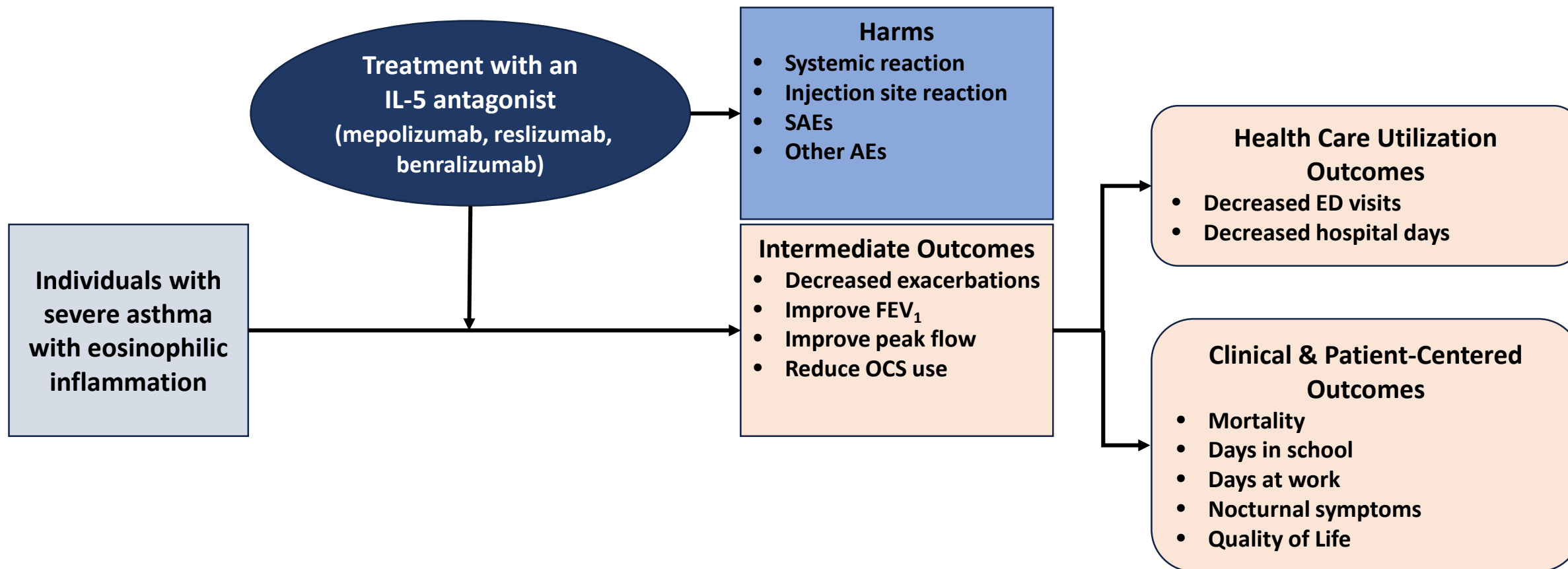
# Management of Severe Asthma

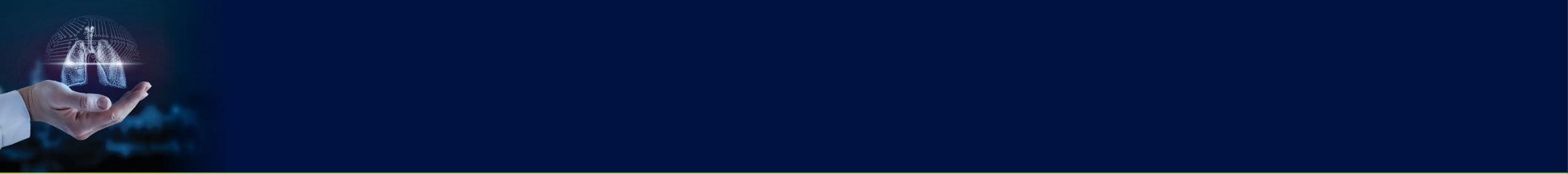
- Preferred option is referral to a specialist for consideration of add-on treatment
  - If symptoms remain uncontrolled or exacerbations persist despite Step 4 treatment, check inhaler technique and adherence before referring
  - Add-on tiotropium for patients  $\geq 12$  years with history of exacerbations
  - Add-on anti-IgE (omalizumab) for patients with severe allergic asthma
  - Add-on anti-IL5 (mepolizumab (SC,  $\geq 12$  years) or reslizumab (IV,  $\geq 18$  years)) or anti-IL5R (benralizumab (SC,  $\geq 12$  years) for severe eosinophilic asthma
- Other add-on treatment options at Step 5 include:
  - **Sputum-guided treatment:** available in specialized centers; reduces exacerbations and/or corticosteroid dose
  - **Add-on low dose oral corticosteroids ( $\leq 7.5$ mg/day prednisone equivalent):** this may benefit some patients, but has significant systemic side-effects. Assess and monitor for osteoporosis





# Framework for Assessing the Choice of an IL-5 Antagonist for Treatment of Severe Asthma





# *Reviewing Response to Therapy*



# Reviewing Response to Treatment

## How often should response to asthma therapy be reviewed?

- 1-3 months after treatment started, then every 3-12 months
- During pregnancy, every 4-6 weeks
- After an exacerbation, within 1 week

## Stepping up asthma treatment

- *Sustained step-up*, for at least 2-3 months if asthma poorly controlled
- *Short-term step-up*, for 1-2 weeks (e.g., with viral infection or allergen)
- *Day-to-day adjustment*

## Stepping down asthma therapy

- Consider step-down after good control maintained for 3 months
- Find each patient's minimum effective dose, that controls symptoms and minimizes risk of exacerbations



# Summary

- Evaluate patients based on their current level of asthma control, disease impairment and risk
- Patients with severe asthma may require additional evaluation and referral
- Patients with allergic asthma not well controlled with high-dose ICS and an additional controller can be considered for treatment with omalizumab
- Patients with severe eosinophilic asthma not controlled with ICS/LABA may benefit from an inhibitor of IL-5 (mepolizumab, reslizumab, or benralizumab), IL-4/IL-13 (dupilumab)



# *Medical and Pharmacy Benefit Design Strategies for Biologic Therapies*

**Jeffrey Dunn, PharmD, MBA**

Vice President, Clinical Strategy and Programs and Industry Relations  
Magellan Rx Management



# Learning Objective

- Examine the implications for managed care of treating difficult-to-treat or severe asthma, including medical costs and resource utilization



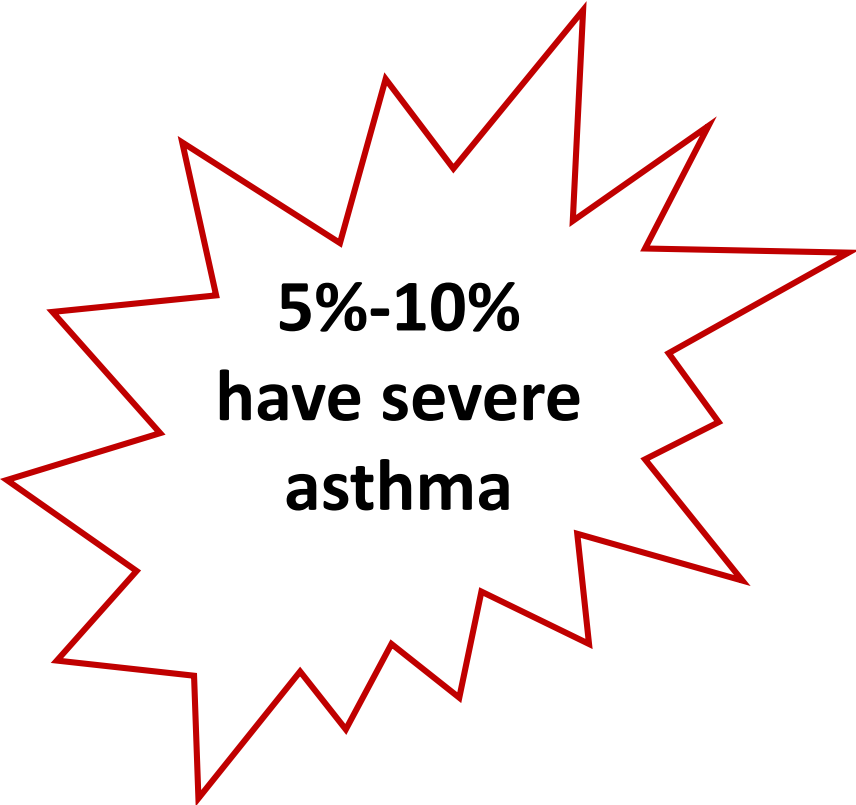
# Asthma Epidemiology in the United States

## Children

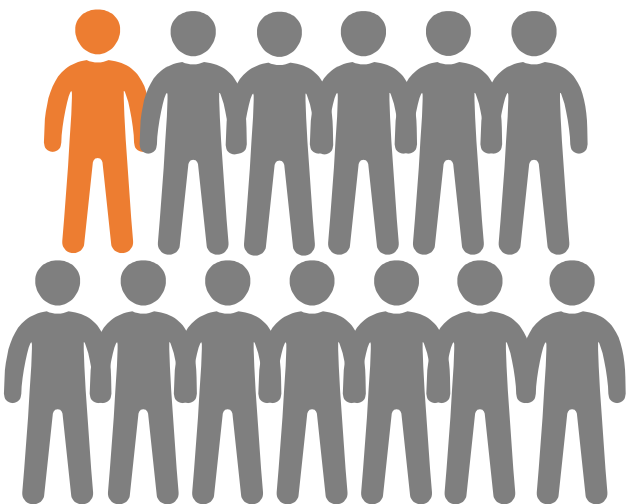


8.3%

~6,100,000



## Adults



8.3%

~20,400,000





# Burden of Asthma in the United States

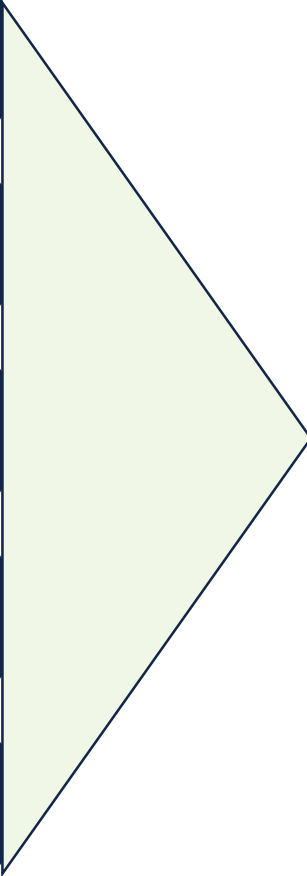
- ➡ **11.0 million** Physician office visits with asthma as primary diagnosis <sup>1</sup>
- ➡ **1.7 million** ED visits with asthma as primary diagnosis<sup>1</sup>
- ➡ **3,518** Deaths with asthma as underlying cause<sup>1</sup>
- ➡ **\$81.9 billion** Cost of asthma in the United States<sup>2</sup>



# Managed Care Perspective on the Burden of Severe Asthma

## Severe Asthma

- Limited response to standard of care therapy
- Increased morbidity/mortality
- Increased office and ED visits
- Increased hospitalization
- Poor quality of life



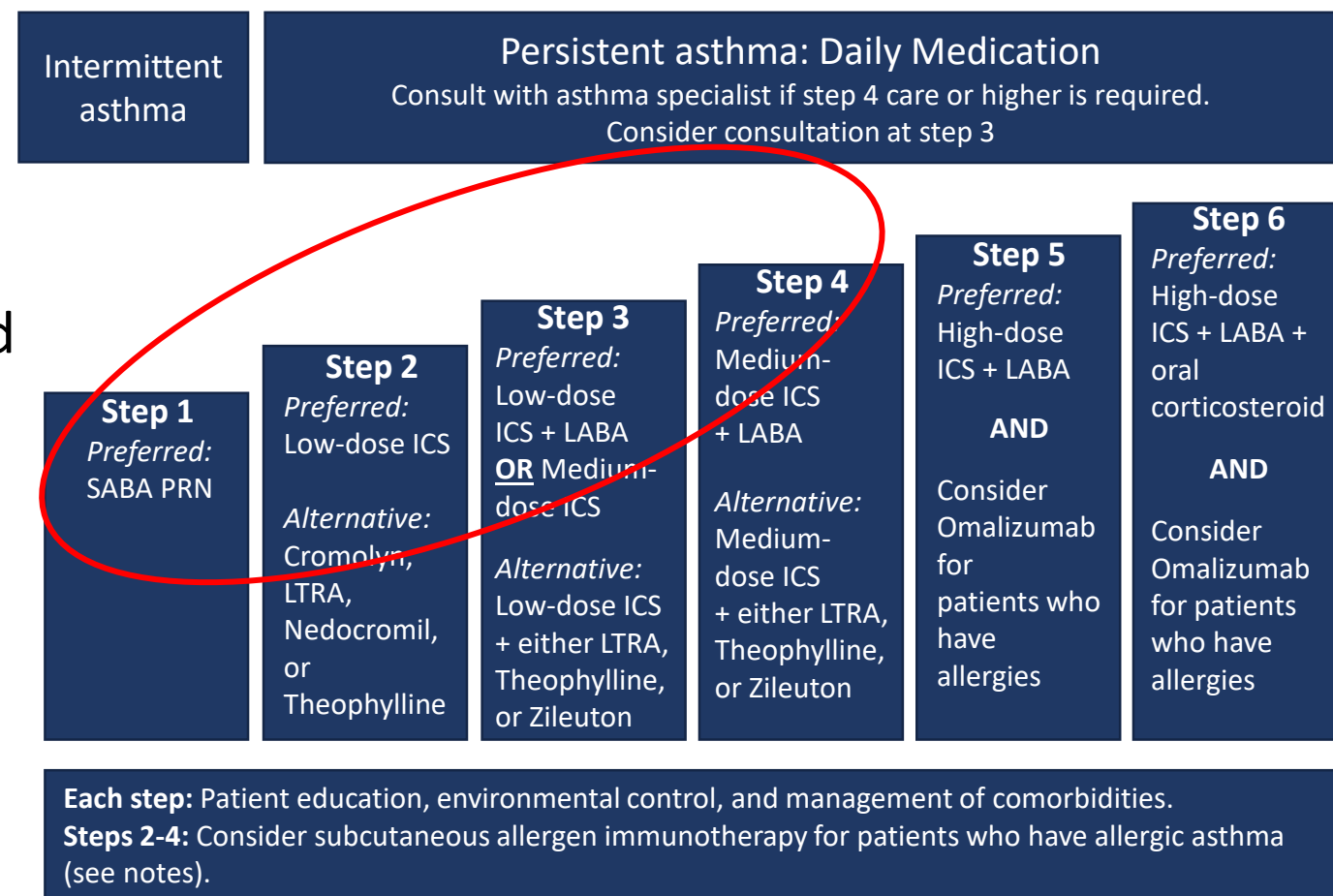
## Impact

- Account for more than 50% of health spending in asthma
  - High demand for care
  - High utilization of care
- Need for utilization management strategies
  - To guide appropriate use of targeted biologic therapy
  - To ensure predictable spend



# At Present, Relatively Inexpensive Inhalation Therapies Dominate the Asthma Category

- According to current guidelines, treatment of asthma involves a stepwise approach
- Most asthma is controlled with non-specific anti-inflammatories (steroids) and bronchodilators on relatively inexpensive inhalation therapies
  - Short- and long-acting bronchodilators
  - Inhaled corticosteroids
  - Leukotriene modifiers
  - Anticholinergics





# The Increasing Number of Biologic Agents for Severe Asthma Requires Careful Consideration of the Asthma Pharmacy Benefit

- The overall spend on traditional asthma therapies covered in the pharmacy benefit is decreasing
  - Reductions are mainly driven by increased competition and rebate strategies
- With the growing number of biologics on the market and more in the pipeline, asthma treatment is becoming increasingly targeted and patient-specific
  - Consequently, asthma spending trends are beginning to increase through the medical benefit

**Biologic Agents for Severe Asthma and Their Targets**

Target	Treatment	Status
IgE	Omalizumab	Approved 2003
IL-5	Mepolizumab Reslizumab	Approved 2015 Approved 2016
IL-5R	Benralizumab	Approved 2017
IL-4/IL-13	Dupilumab	Approved 2018
TSLP	Tezepelumab	Phase 3
CRTh2	Fevipirant	Phase 3

IgE=immunoglobulin E; IL=interleukin; IL-5R=interleukin-5 receptor; TSLP=thymic stromal lymphopoietin; CRTh2=chemoattractant receptor on Th2 cells

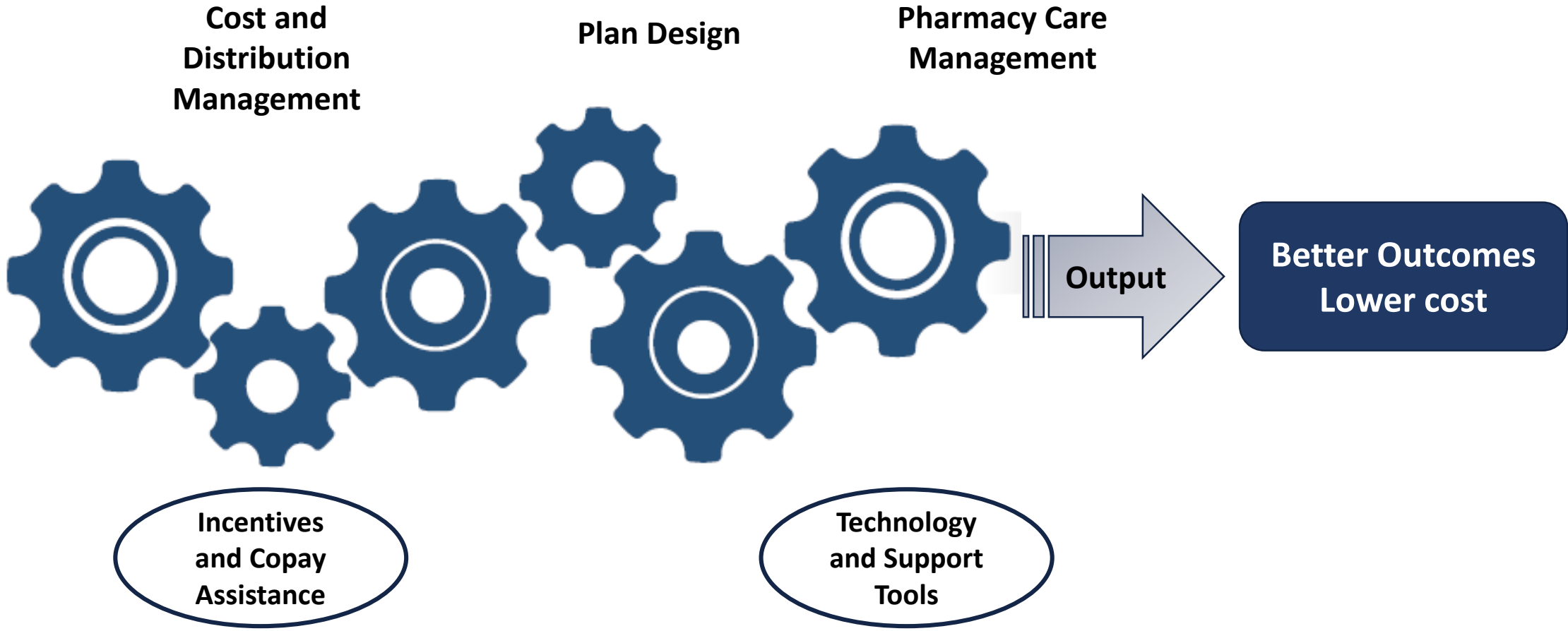


# Payers Are Concerned About the Budget Impact of New and Emerging Biologics for Asthma

- Payers are cautiously optimistic about the role of the IL-5s and IL-4s, but their impact on the budget is a concern
- Payers recognize the potential benefit of these agents, but highlight biologics only address a small subset of asthma patients
- The Phase 3 trial endpoints are relevant (reduction in exacerbations, hospitalizations, ED visits, etc), but concerns remain about overprescribing
- The positioning of these agents in the treatment algorithm also remains unclear
  - Overlap between omalizumab and the IL-5s and IL-4/IL-13s
  - Payers are unable to accurately project the budget impact of these agents



# Costs Can Be Effectively Managed by Aligning Distribution, Plan Design and Pharmacy Care Management





# Basic Tenets of the Specialty Drug Benefit

## Utilization Management

- Reduce costs by aggressively managing drug utilization

## Preferred Drug Management

- Establish preferred products and formulary tiers
- Use cost sharing to drive use of preferred products, but not limit adherence

## Contract Management

- Aggressively negotiate rebates
- Incent providers to utilize the most cost-effective drugs

## Channel Management

- For pharmacy, optimize the distribution network
- Optimize site of care

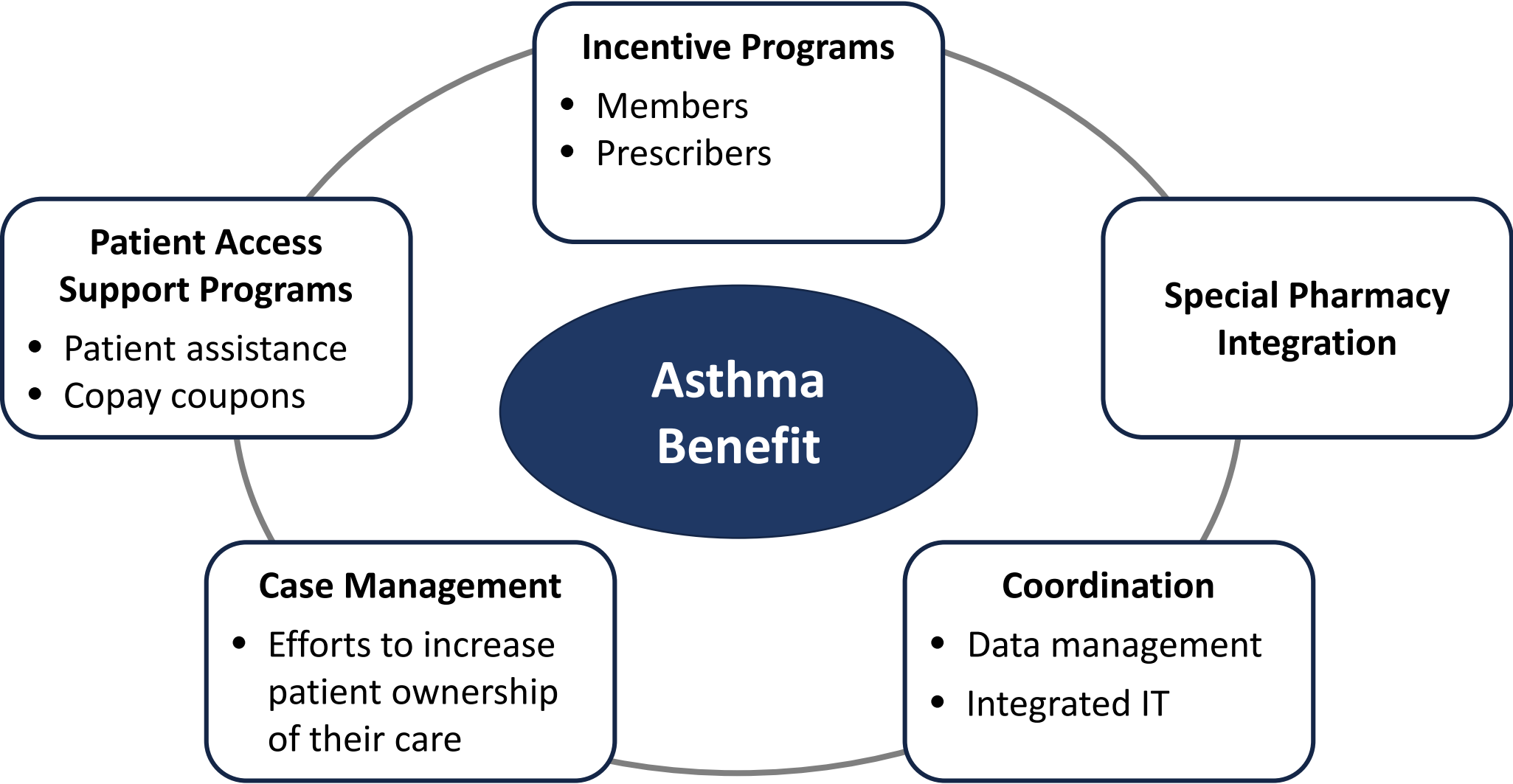
## Care Management

- Provide counseling and education to patients and caregivers
- Incent coordinated care





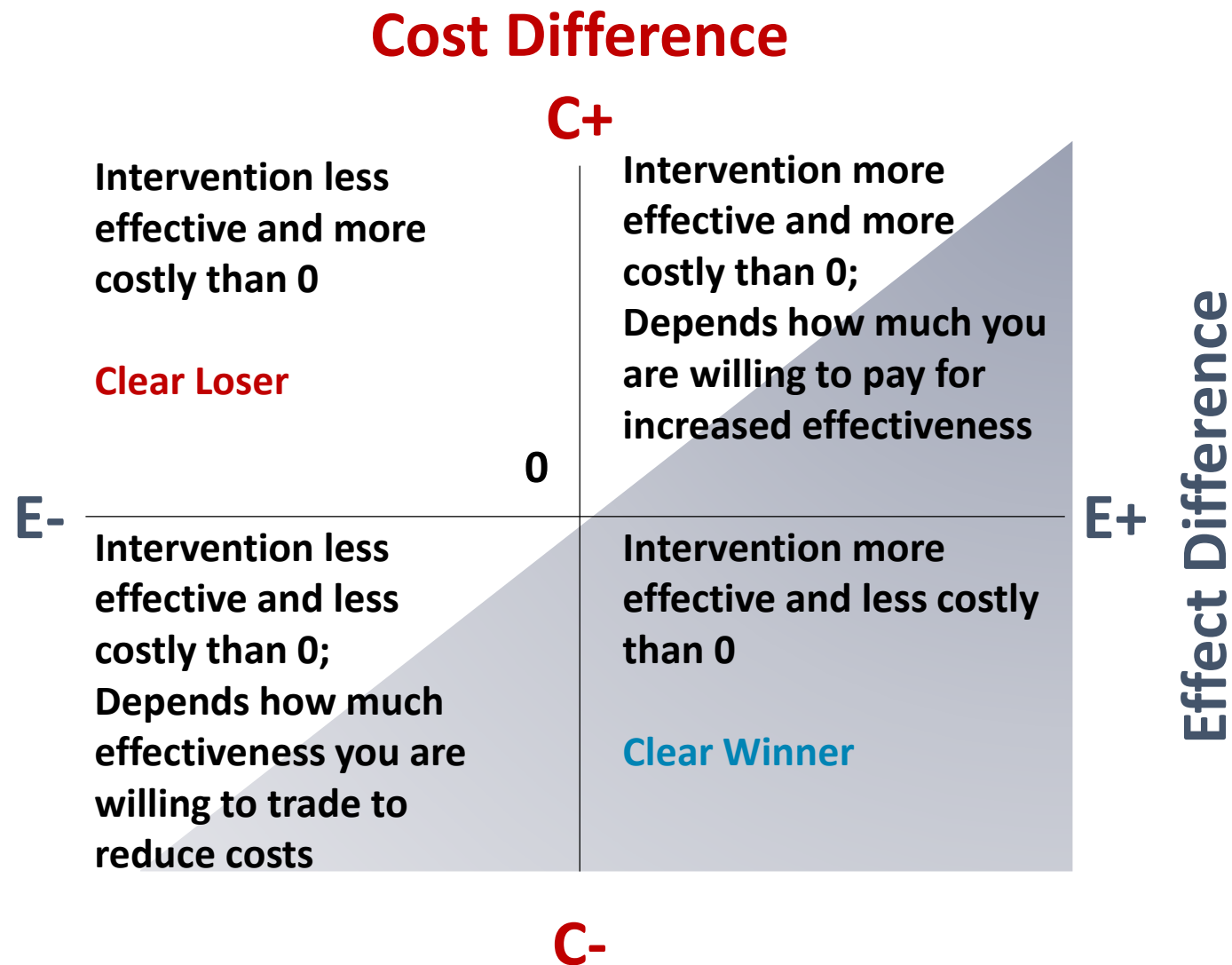
# Elements Typically Found in the Asthma Benefit Design





# Value = Cost Effectiveness

- Efficacy
- Price
- Cost per event avoided
- Cost per % improvement
- Helps compare agents
  - When there are no head-to-head trials





# Elements of the Asthma Benefit Design: Formulary Tiers

- Trend is toward multi-tier formularies
- Patient cost is dependent on the formulary tier
  - Tier 1: lowest cost
  - Tier 2: slightly higher cost
  - Tier 3: higher cost
  - Tier 4 (specialty drugs): highest cost
- Formulary positioning depends on the demonstrated value of the drug as assessed by the plan sponsor

Tier 1 Generic	Tier 2 Preferred	Tier 3 Non-preferred	Tier 4 Specialty
\$	\$ \$	\$ \$ \$ \$	\$ \$ \$ \$ \$
Least expensive, including all generics and select brands	Brand name drugs proven to be most effective in their class	Non-preferred brand names not considered to be the most effective as well as preferred specialty drugs	The most expensive drugs; typically non-preferred, branded specialty drugs



# Formulary Design Example

Pharmacy Benefit		
Tier	Drug	Cost
Preferred generic		\$5
Non-preferred generic		\$10
Preferred brand		\$50
Non-preferred brand		\$100
Preferred specialty		10%
Non-preferred specialty		20%

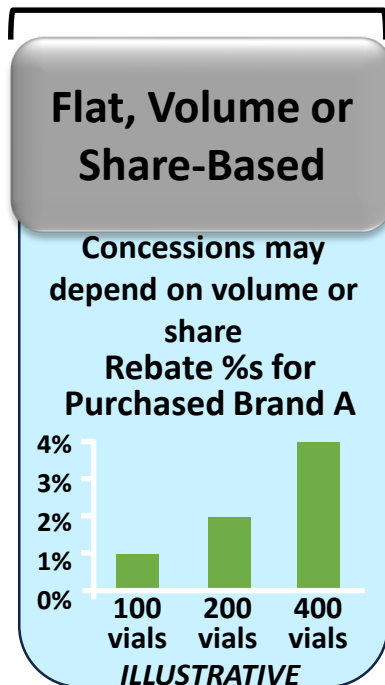
Medical Benefit		
Tier	Drug	Cost
Non-specialty		NA
Preferred specialty		10%
Non-preferred specialty		20%



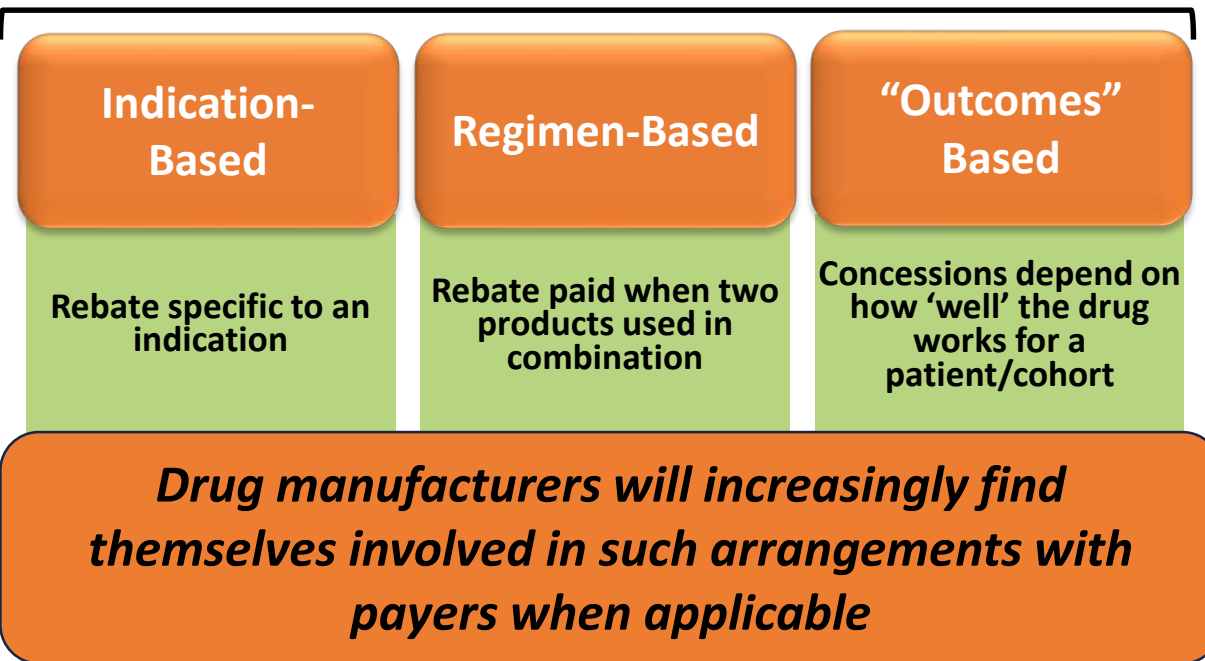
# Traditional Versus Potential Value-based Contracting

- Value-based contracts ensure the use of medication is leading to an offset in hospitalization/emergency room utilization and other medical costs associated with poor asthma control

## Traditional Contracting



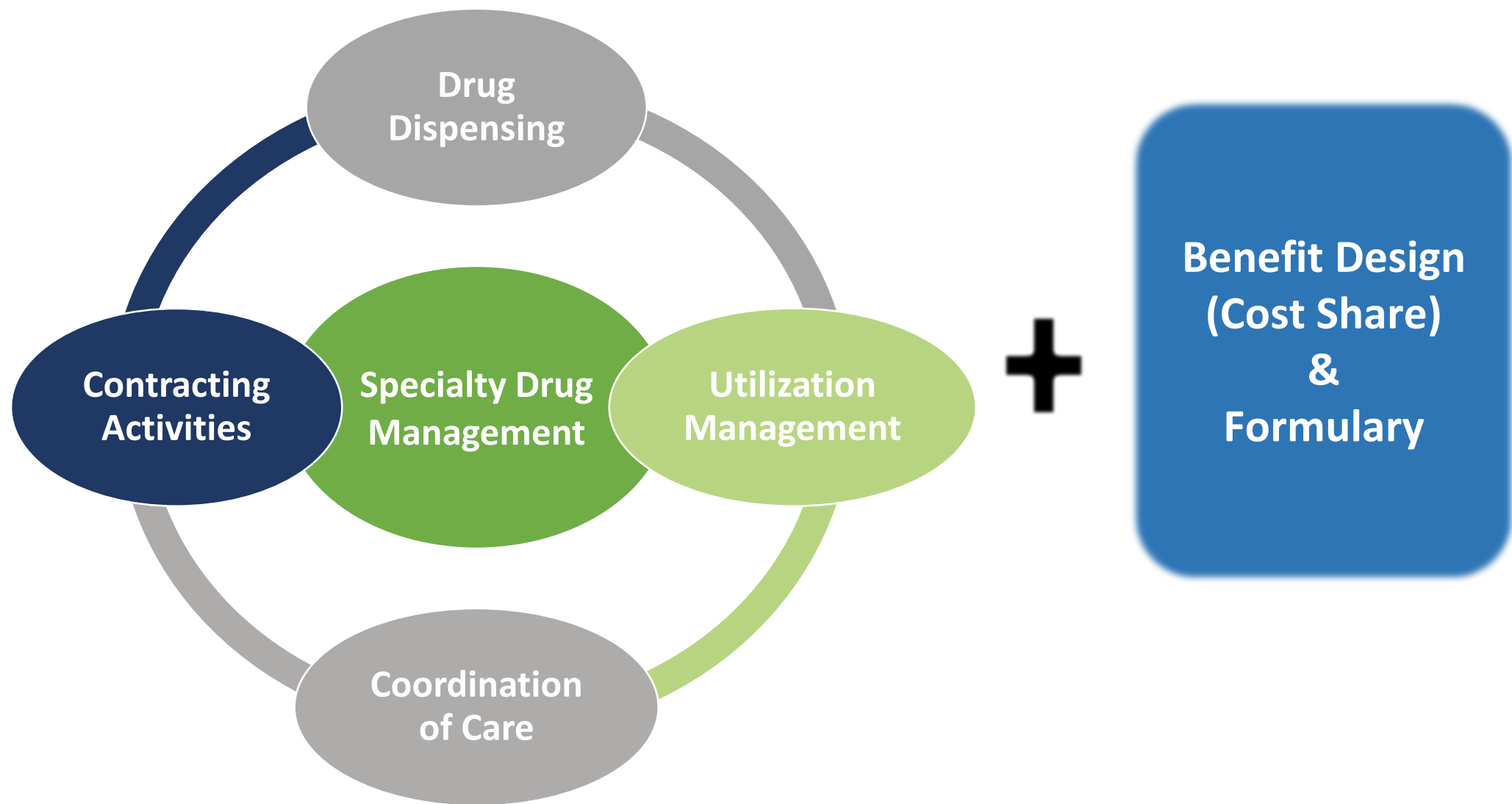
## Value-Based Contracting



Increasing Data & Complexity



# Successful Asthma Pharmacy Management Requires Finding the Appropriate Balance

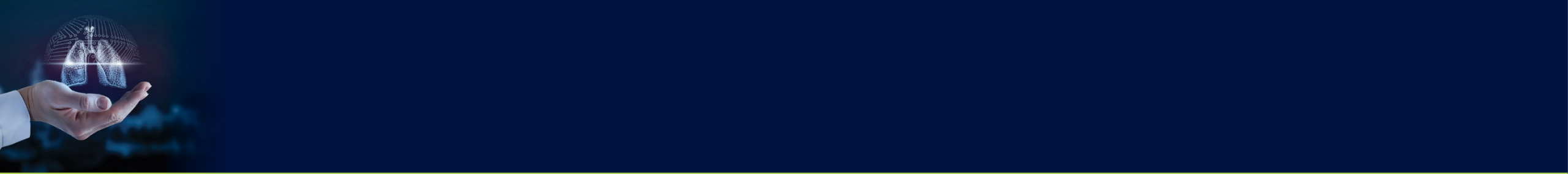




# Summary

- The treatment landscape for severe asthma is evolving rapidly with the recent introduction of three novel products and several others in late-stage development
- While many patients stand to gain with the growth in the number of therapeutic options, these benefits will come at a higher cost
- To ensure patient access to these innovative therapies, the asthma pharmacy benefit must evolve to maintain a balance between access, appropriate use, and cost management





# *Care Coordination Strategies to Enhance Patient Outcomes with Difficult-to-treat or Severe Asthma*

**Steven G. Avey, MS, RPh, FAMCP**

Vice President

Specialty Pharmacy Programs

MedImpact Healthcare Systems, Inc.



# Learning Objective

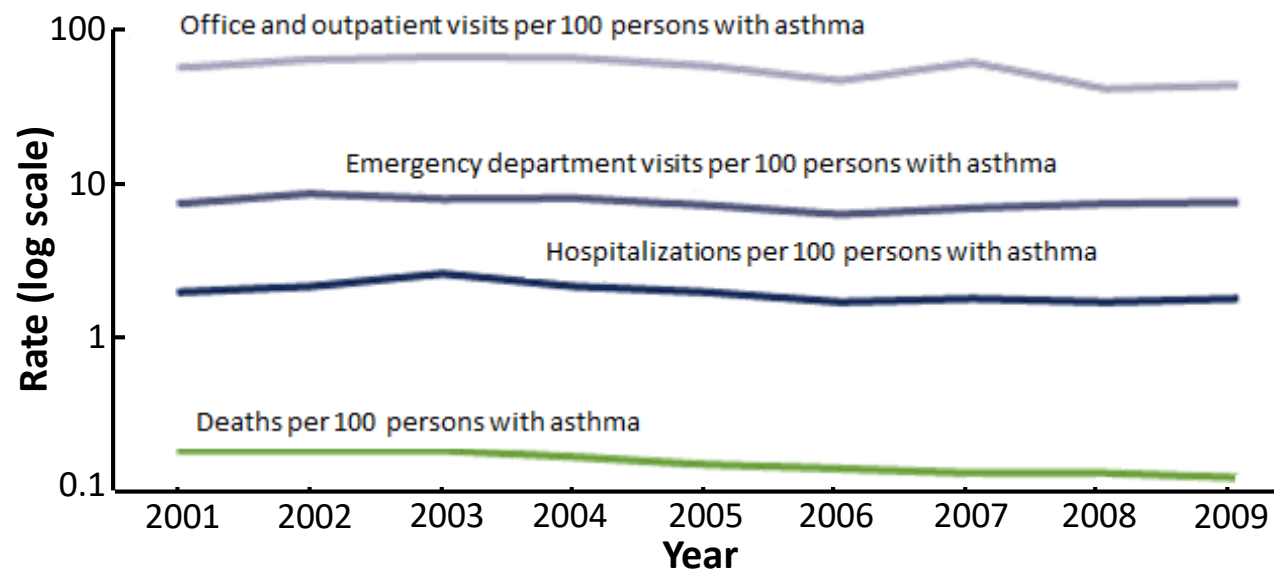
- Employ care planning strategies to increase the delivery of coordinated, multidisciplinary care for patients with difficult-to-treat or severe asthma



# The Asthma Paradox

- Advances in the understanding of asthma pathogenesis has lead advancements in therapy and symptom management
- However, asthma morbidity and mortality remain relatively unchanged
- Patients with severe forms of asthma face substantial medical risks, marked reductions in quality of life, and other significant disease-related burdens

## Asthma Health Care Encounters and Asthma Deaths





# Multidisciplinary Asthma Care

- **Multidisciplinary care** creates a team of health care professionals working together to improve quality of care and achieve efficiencies in care delivery
- Evidence suggests that achieving asthma control often requires several clinic visits to enable a comprehensive work-up, eliminate aggravating factors, and assess therapeutic responses





# Key Questions Addressed by the Multidisciplinary Team


- Is the diagnosis right?
- Why is there poor symptom control?
- Is there a comorbid condition that can impact treatment or treatment response?
- Is the patient receiving/taking their medication?
- What psychological and behavioral factors may be affecting the acceptance/response to therapy?
- Is dysfunctional breathing present?
- Is the inhaler device/technique right?
- Is the patient avoiding allergens, tobacco smoke, and other triggers?



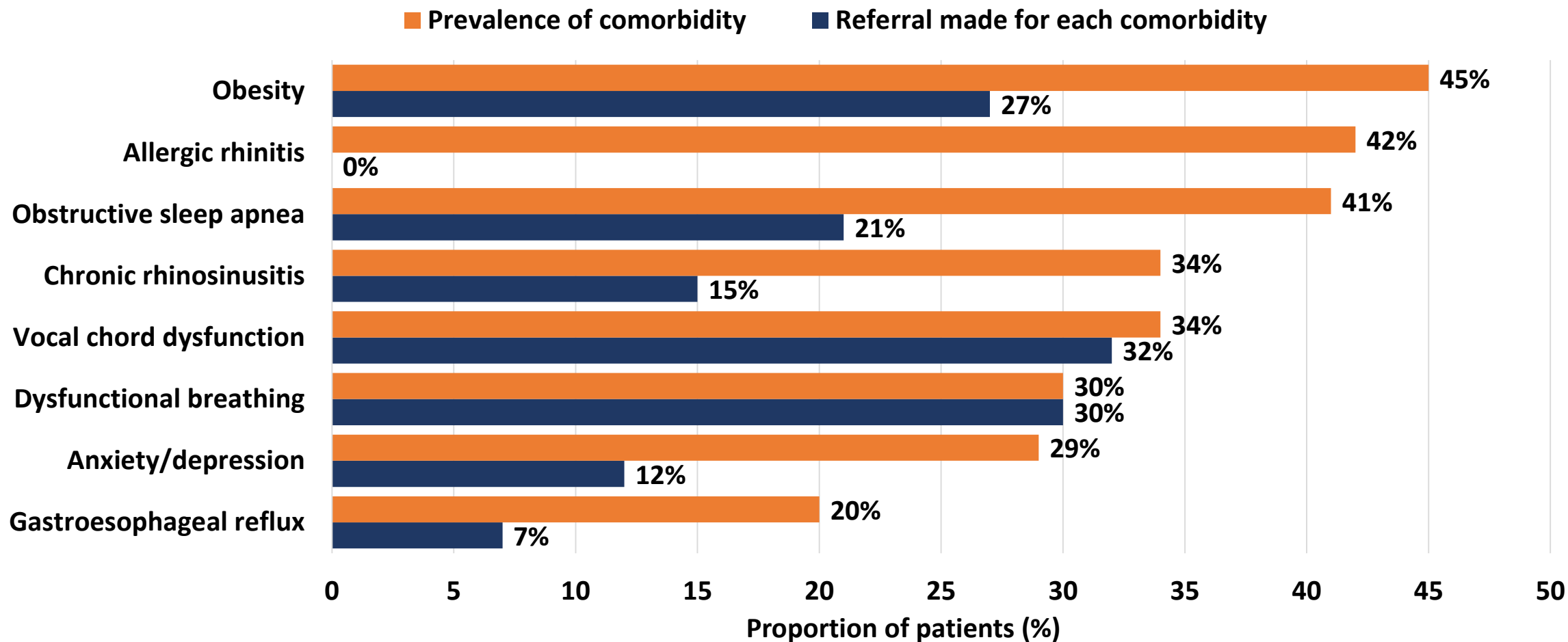
# When to Refer to a Specialist

- Patients with severe or difficult-to-treat asthma are frequently referred to a pulmonologist, allergist or other respiratory specialist for systematic evaluation and advanced treatment
  - Testing and management of comorbidities, including allergies
  - Current treatment with non-biologics is not effective
  - Initiation of treatment with targeted biologic therapies





# Specialist Referral Increased the Likelihood of Diagnosis of Common Asthma Comorbidities





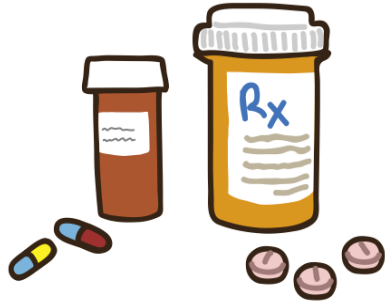
# Common Elements of Successful Care Management

Success Factor	Description
Communication	<ul style="list-style-type: none"><li>• Patient satisfaction increases when the health care team explains information clearly, tries to understand the patient’s experience, and provides viable treatment/management options</li></ul>
In-person encounters	<ul style="list-style-type: none"><li>• Face-to-face interaction is necessary for effective care management</li><li>• Care management relying solely on telephone and/or electronic encounters has not been shown to be successful</li></ul>
Training and personnel	<ul style="list-style-type: none"><li>• Programs with specially trained care managers working as part of a multidisciplinary team are most successful</li></ul>
Physician involvement	<ul style="list-style-type: none"><li>• Placing care managers with physicians in primary care practices may help facilitate physician involvement</li></ul>
Informal caregivers	<ul style="list-style-type: none"><li>• Patients with complex health care needs, particularly those with physical or cognitive functional decline, often need the assistance of informal caregivers to actively participate in care management</li></ul>
Coaching	<ul style="list-style-type: none"><li>• Involves teaching patients and their caregivers how to recognize early warning signs of worsening disease</li></ul>





# Components of Care Management



## Assess Safety

- Adverse events
- Allergies
- Drug interactions

## Verify Clinical Appropriateness

- Route of administration
- Strength/dose
- Dosing frequency
- REMS

## Adherence

- Access assistance
- Initial fill
- Refills

## Monitoring

- Review progress toward goals
- Manage therapy interruptions

## Patient Education

- Treatment expectations
- Medication administration
- Support programs



**Allergic asthma to perennial allergens**  
**Total IgE within range of omalizumab indication**

**Yes**

## Omalizumab 16 week trial

## Effective

## Continue omalizumab

## Not effective

## High blood eosinophils

**No**

## High blood eosinophils

## Anti-IL-5 for 1 year

## Effective

## Continue anti-IL-5

## Not effective



# Significant Savings Come From Providing Coordinated Care Management





# Specialty Pharmacy is Well-Positioned to Support Care Management Activities

Patient Education	Drug Administration	Drug Dosing	Monitoring
<ul style="list-style-type: none"><li>• Therapy expectations</li><li>• Dosing</li><li>• Adverse events</li><li>• Follow up</li><li>• Shipping and storage requirements</li><li>• Patient access/insurance</li></ul>	<ul style="list-style-type: none"><li>• Train patients and caregivers<ul style="list-style-type: none"><li>• Drug preparation</li><li>• Proper administration techniques</li><li>• Proper handling, storage, and disposal</li></ul></li></ul>	<ul style="list-style-type: none"><li>• Individualization of dosing</li><li>• Dosing frequency</li></ul>	<ul style="list-style-type: none"><li>• Adherence support</li><li>• Concurrent medications</li><li>• Adverse events</li><li>• Drug interactions</li><li>• Comorbidities</li></ul>



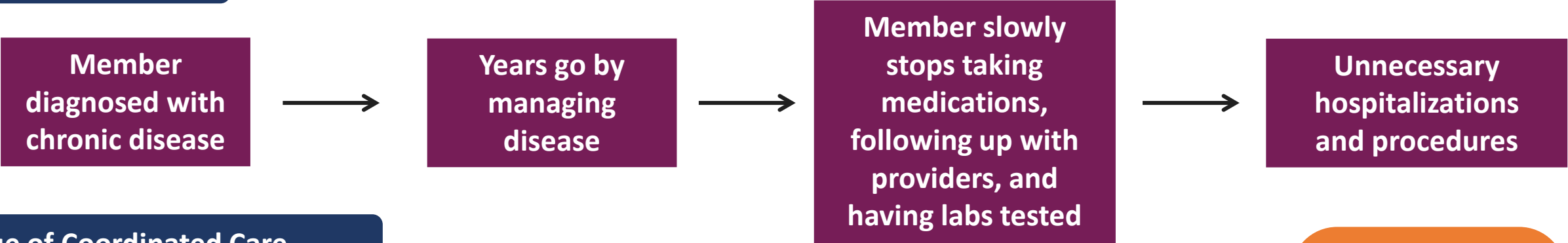
# Specialty Pharmacy Care

- Coordinate with nurses or physicians who give biologic injections for asthma
- Patient outreach depending on severity of their asthma (every 3 to 6 months)
  - Monitor FEV<sub>1</sub> levels where possible
  - Monitor for adverse events and comorbidities
  - Monitor for good adherence and coach patients that are not conforming to their regimens
  - Collect information on Quality of Life where possible (ie, number of days missed at school or work, etc)
  - Utilize the Asthma Control Test (ACT) to determine asthma control where possible

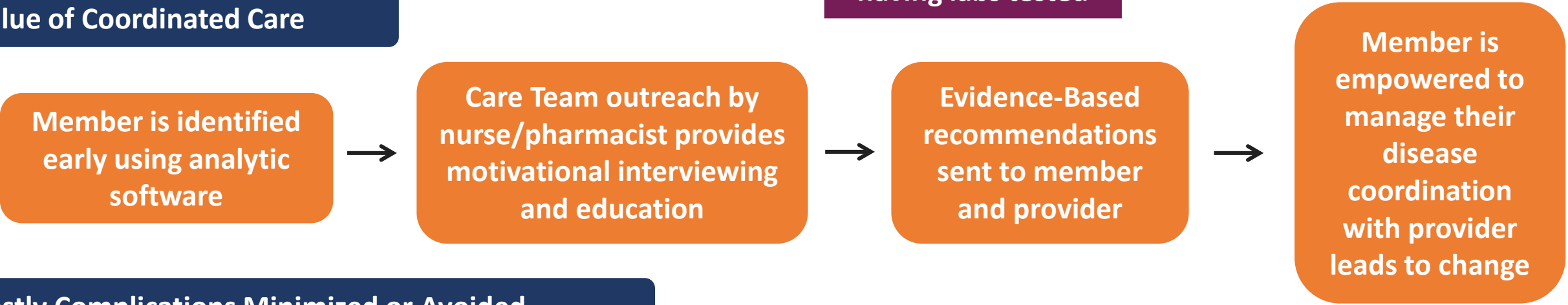


# Improved Outcomes Through Quality Care

## Member Experience



## Value of Coordinated Care



## Costly Complications Minimized or Avoided

Systemic complications • Redundant/Unnecessary testing • ER visits • Hospital admissions • High-cost medications



# Summary

- Asthma patients benefit from care delivered by a coordinated multidisciplinary care team
- Care management is a set of activities designed to improve patient care and reduce the need for medical services by enhancing *coordination of care*
- Care coordination is the organization of care activities between a multidisciplinary team of providers to facilitate the appropriate delivery of health care service
- Significant cost savings arise from providing optimal clinical support and care management
- Specialty pharmacy is well-positioned to support care management programs